UNITED STATES DEPARTMENT OF COMMERCE
National Telecommunications and Information Administration
Washington, D.C. 20230

January 26, 2017

Mr. Nathan Paul Mehrens
President and General Counsel
Americans for Limited Government
10332 Main Street, No. 326
Fairfax, VA 22030

RE: NTIA FOIA 14-024

Dear Mr. Mehrens:

On March 27, 2014, the National Telecommunications and Information Administration (NTIA), Office of the Chief Counsel, received your request pursuant to the Freedom of Information Act (FOIA), as amended (5 U.S.C. § 552). Your email contained the following request:

> All records relating to legal and policy analysis developed by or provided to the National Telecommunications and Information Administration (NTIA) that supports its decision to "transition key Internet domain name functions," including any analysis showing whether the NTIA has the legal authority to perform the transition.

On March 28, 2014, NTIA acknowledged receipt of your request and notified you that it had assigned an internal tracking number of NTIA FOIA 14-024. NTIA also posed several questions to clarify the request. On that same day, you clarified your request with the following additional information:

- Time frame for the search will be limited to January 1, 2012 to March 27, 2014.
- The search is limited to final versions of agency records.
- The search will include all agency records that contain the discussions or transmitted the discussions whether by email, phone records, meeting notes, calendar pages, etc.
- The term support is not meant to limit the search but should include analysis whether positive, negative, neutral or otherwise.

On April 22, 2014, NTIA granted your fee waiver request. On May 20, 2014, NTIA provided a first interim response. On June 5, 2015, NTIA provided a second interim response. On January 14, 2016, NTIA provided a third interim response. On February 29, 2016, NTIA provided a fourth interim response. On April 14, 2016, NTIA provided a fifth interim response.

Enclosed, please find the sixth interim and final response to your request. This response includes 230 records (in 70 PDFs) on a CD. Portions of two records are being withheld pursuant

to Exemption 3 of the FOIA, which incorporates into the FOIA certain nondisclosure provisions that are contained in other federal statutes. *See* 5 U.S.C. § 552(b)(3). Portions of four files are being withheld pursuant to Exemption 4 of the FOIA, which protects "trade secrets and commercial or financial information obtained from a person [that is] privileged or confidential." *See* 5 U.S.C. § 552(b)(4). Portions of 159 records are being withheld pursuant to Exemption 5 of the FOIA, which protects "inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency." *See* 5 U.S.C. § 552(b)(5). Portions of 17 records are being withheld pursuant to Exemption 6 of the FOIA, which protects personal private information. *See* 5 U.S.C. § 552(b)(6). Twenty-two records are being referred to the following other agencies for a direct response to the requester: Department of Defense (4 records), the Office of Science and Technology Policy (2 records), Department of State (14 records), the Federal Bureau of Investigation (1 record), and the Department of Justice (1 record). *See* 15 C.F.R. § 4.5(c). The remaining 41 records are being released in their entirety without redaction. Certain records are not responsive and have been marked accordingly.

NTIA had extended the time for processing beyond 30 days due to unusual circumstances due to the volume of records that were searched and processed. *See* 5 U.S.C. § 552(a)(6)(B). You had indicated that you were willing to accept fully releasable documents on a rolling basis.

This concludes the initial determination by the Department. You have a right to appeal an adverse determination of your FOIA request. 15 C.F.R. § 4.10(a). An appeal must be received within ninety (90) calendar days of the date of this response letter by the Assistant General Counsel for Litigation, Employment, and Oversight (AGC-LEO), U.S. Department of Commerce, Office of the General Counsel, Room 5875, 14th and Constitution Avenue, NW, Washington, DC 20230. Your appeal also may be sent by email to FOIAAppeals@doc.gov, by facsimile to 202-482-2552, or by FOIAonline if you have an account at http://foiaonline.regulations.gov.

The appeal must include the following: a copy of the original request; this response to the request; a statement of the reason why the withheld records should be made available; and the reason why denial of the records was in error. The submission (including e-mail, fax, and FOIAonline submissions) is not complete without the required submissions. The appeal letter, the envelope, the e-mail subject line, and the fax cover sheet should be clearly marked "Freedom of Information Act Appeal." The e-mail, fax machine, FOIAonline, and office of the AGC-LEO are monitored only on working days during normal business hours (8:30 a.m. to 5:00 p.m., Eastern Time, Monday through Friday). FOIA appeals posted to the e-mail box, fax machine, FOIAonline, or the office of the AGC-LEO after normal business hours will be deemed received on the next normal business day.

You may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about FOIA mediation services. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001; e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769. You may also reach the Department's FOIA Public

Liaison, Mr. Michael Toland at 202-482-3842 or via email at mtoland@doc.gov for additional assistance.

If you have any questions regarding your request, please contact Jessica Elder, Attorney Advisor, at 202-482-1034 or via email at jelder@ntia.doc.gov.

Sincerely,

Kathy D. Smith
Chief Counsel

Enclosure

From:	Ashley Heineman
To:	Fiona Alexander; Suzanne Radell; Elizabeth Bacon; Vernita D. Harris
Cc:	Jade Nester; Christopher Hemmerlein
Subject:	DNS work item: How to address government concerns re. NTIA role
Date:	Friday, June 28, 2013 3:07:00 PM
Attachments:	(b) (5) Proposal for a Tamper to NTIA 13-06-16.docx

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Fiona et al,

As tasked, please find attached my analysis of Steve Crocker's proposal to address government concerns regarding NTIA's role in the root zone management process. Also attached is Steve's informal proposal (close hold).

My understanding is that Fiona wants to discuss this at our DNS meeting on July 1.

Proposal for a Tamper-Proof Root Zone Update Process

Steve Crocker

June 16, 2013

[This is a draft under development. Known rough or incomplete sections are flagged with TK.]

Introduction

This is a proposal for a modification to the architecture and operation of the root zone update process to address what is fundamentally a political issue, not a technical issue, providing credible assurance to governments around the world that the U.S. Government or ICANN cannot abruptly or arbitrarily remove or otherwise tamper with the contents of TLD entries in the root zone.

"What if the U.S. Government takes us out of the root?"

The nightmare scenario for any country is that ICANN might abruptly remove their ccTLD from the root, possibly at the direction of the U.S. Government. Russia says this from time to time,[1] and other countries do as well. No matter how often or how vigorously the U.S. Government and/or ICANN say this will never happen, the vulnerability – and hence the perceived threat – remains. Worse yet, the nightmare scenario has a precedent. In the design of GPS, the U.S. made it clear that it could restrict access to the full precision of the system, and it

[1] I first encountered this in a side conversation – a "bi-lateral" – with a senior Russian official during the Russian networks security meeting, RANS, in Moscow in 2006. (I need to insert his name and the full reference TK.) Similar queries and assertions have come up since then, I believe others have been in similar situations.

did so during the Gulf War. This has been part of the justification in Europe for the Galileo project.[2] Russia did not wait for the Gulf War and started GLONASS even earlier.[3]

It would be crazy for the U.S. or ICANN to take any country out of the root without that country's concurrence. If it did so, it would instantly undermine U.S. and ICANN credibility and cause a rapid dissolution of the IANA function. Moreover, doing so would have no useful value. The effect of removing an entry from the root is gradual, but the response would be immediate. There would be a massive response around the world to locally reconstruct the "damaged" root zone. However, my strong opinion on this matters not. Though unlikely, it's currently not impossible for a commander-in-chief to force the removal of an entry from the root. And even if this never happens, there's no credible argument that can be given to suspicious countries that such a thing will never happen.

Failing Safe

This paper is based on one key idea: Among the various sorts of errors that might be possible, it is far better to occasionally delay a requested change to a TLD operator's portion of the root zone than it would be to have a change that is not requested and authorized by the TLD operator.

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2 http://en.wikipedia.org/wiki/Galileo_%28satellite_navigation%29#GPS_and_Galileo

One of the reasons given for developing Galileo as an independent system was that position information from GPS can be made significantly inaccurate by the deliberate application of universal Selective Availability (SA) by the US military; this was enabled until 2000, and can be re-enabled at any time. GPS is widely used worldwide for civilian applications; Galileo's proponents argued that civil infrastructure, including aeroplane navigation and landing, should not rely solely upon a system with this vulnerability.

On 2 May 2000, SA was disabled by President of the United States Bill Clinton; in late 2001 the entity managing the GPS confirmed that they did not intend to enable selective availability ever again.

3 http://en.wikipedia.org/wiki/GLONASS

The current process for editing the root zone requires NTIA to authorize each change, which it does after the IANA group reviews and checks the requested change. Both IANA and NTIA send messages to Verisign, and when those messages have been received, Verisign updates its master copy of the root zone. The master copy is sent to each of the root servers twice a day. If one asks what sorts of errors might have the biggest negative effect, there's a huge difference between mistakenly making a change to a working entry versus failing to make a requested change. And the most serious change that might be made improperly is to remove or disable the TLD's entry completely.

If one were going to design a system that is very, very resistant to catastrophic error, it would make sense to build in extra safeguards against accidental change of a working entry. Taken together with the political concerns among some countries that removal might happen on purpose, I think there's good argument in favor of redesigning the root zone update function so that it is verifiably protected against inadvertent or malicious change of working entries. [note that a mistake in a change may still be possible if the change itself is erroneous - we are not guarding against that case here]

This is not a trivial task, but it's achievable. As a rough precedent, the U.S. has extremely strong controls on the use of its nuclear weapons. The specific technical issues regarding control of root entries are not identical to the control of nuclear weapons, but there are some similarities. Specifics aside, the kind of thinking that has gone into designing and fielding fail-safe systems is likely to be relevant here. See, for example, the literature on Permissive Action Links.[4]

[4] http://en.wikipedia.org/wiki/Permissive_Action_Link

A Conceptual Architecture

Here's a very high-level view of how to design a Tamper-Proof Root Zone Update System (TPRZUS). What follows here is intended only to highlight the key ideas. A design detailed enough to be implemented remains to be developed.

1. The TPRZUS

The first piece of the conceptual design is the update process will be sealed in a tamper-proof system, i.e. TPRZUS. Today's system is spread across Verisign, NTIA and ICANN. The communication among these parts of the system is protected with authentication and encryption (TK – is this correct?) but within each organization trusted people have access to all of the moving parts. I propose instead that the collection of pieces of the system be reorganized to be tamper-proof. Any change to the design or implementation of the system would require special, coordinated access and the participation of an external control group.

2. Normal Operation

The second piece of the conceptual design is that in normal operation any change to the part of the root zone associated with a particular TLD would require active affirmation by the TLD operator. For purposes of discussion, let's assume each TLD operator is given a token or box that is cryptographically keyed to the root zone update system, and that a positive message is required from that box before a proposed change can be made to that TLD operator's portion of the root zone.

Thus, in normal operation, a TLD operator would request a change, the operators of the TPRZUS, i.e. Verisign, NTIA and ICANN, would all agree to the change, the change would be entered into the TPRZUS, and then an exchange of messages would take place between the TLD operator and the TPRZUS.

For readers knowledgeable about the details of the root zone and/or the idea of cryptographically keying a token to a system, a few questions come quickly to mind:

- What about portions of the root zone that might be shared among multiple TLDs, viz the address ("glue") records for name servers that are used by more than one TLD?

- It's relatively easy to see how to associate a token with a central system and to empower it to make changes. It's less obvious how to make sure no other token is similarly empowered.

I will skip the first question for now. The second question is touched on below.

3. Special Operation

The third piece of the conceptual design deals with special operation as opposed to normal operation. Special operation applies to the assignment or reassignment of control to a specific portion of the root zone.

The second piece of the design, above, is based on a token assigned to each TLD operator that gives the TLD operator veto power over any changes to that portion of the root zone. There has to be a process for creating those tokens, ensuring that a particular token can control only a specific portion of the root zone, and then delivering that token to the appropriate TLD operator. I will skip over the creation and inventory control of the tokens and sketch just the assignment of a token to an operator.

This part of the design is partly technical and partly political. The determination of who is the appropriate operator for a TLD and hence who should receive the token requires some form of transparent due process. In today's operation, the corresponding action is delegation or re-delegation of the TLD. The current process for delegations and re-delegations is carried out by the IANA group with approvals and authorization by both the ICANN Board and NTIA. The ICANN Board and NTIA focus on whether the process has been carried out properly, although there is sometimes a perception that one or both groups may insert political considerations.

As part of strengthening the entire system, it may be appropriate to make the delegation and re-delegation process more open and to have multiple parties from the ccTLD community participate. For purposes of discussion, let's postulate the creation and operation of a Root Zone System Oversight Board (RZSOB) that is empowered to

- approve the creation of new portions of the root zone corresponding to new TLDs,

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In addition to initial delegations and assignments of tokens to TLD operators, the RZSOB would also handle lost or broken tokens and re-delegations. The RZSOB would also have the power to disable tokens.

The RZSOB would necessarily be composed of a significant number of independent parties who would have to agree on each action. Fleshing out the structure and operation of the RZSOB remains to be developed.

4. Transition

The fourth piece of the design deals with transition. Quite obviously it will not be possible to transition to this type of operation all at once. One to orchestrate the transition is put the TPRZUS into operation inside of the current IANA/NTIA/Verisign operation. That is, the interface between IANA and each of the TLD operators would remain the same as it is today, but, after appropriate testing and parallel operation, the TPRZUS would be put into operation. IANA would hold the tokens for each of the TLDs.

Whenever a TLD operator is ready to take over its operation, the RZSOB would assign it a token and move the control from IANA to the TLD operator. Operationally, this would be quite similar to a re-delegation.

Next Steps

TBD

3 Pages

Withheld in its entirety
pursuant to FOIA Exemption 5
(5 U.S.C. § 552 (b)(5))

From:	Fiona Alexander
To:	Larry Strickling
Cc:	Ashley Heineman; Vernita D. Harris; Jade Nester; Suzanne Radell; Elizabeth Bacon
Subject:	Reflection"s on Crocker"s idea re: root zone processes
Date:	Friday, July 05, 2013 10:33:19 AM
Attachments:	(b) (5) Proposal for a Tamper to NTIA 13-06-16.docx

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Hi Larry

Attached is an analysis and recommendation on how to respond to Crocker's idea re: introducing a cryptographic signature for TLD registry operators on root zone changes. Let me know if you'd like to set up a meeting to discuss but basically the idea is (b) (5) . What we are recommending is that working (b) (5) .

I anticipate Fadi or Crocker may raise with us in Durban again.

Fiona

Proposal for a Tamper-Proof Root Zone Update Process

Steve Crocker

June 16, 2013

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pursuant to FOIA Exemption 5
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From:	Fiona Alexander
To:	Vernita D. Harris; Ashley Heineman; Suzanne Radell; Elizabeth Bacon
Cc:	Jade Nester; Christopher Hemmerlein
Subject:	RE: Summary of work items re: technical DNS issues
Date:	Thursday, July 25, 2013 1:50:15 PM

(b) (5)

From: Vernita D. Harris
Sent: Thursday, July 25, 2013 1:22 PM
To: Fiona Alexander; Ashley Heineman; Suzanne Radell; Elizabeth Bacon
Cc: Jade Nester; Christopher Hemmerlein
Subject: RE: Summary of work items re: technical DNS issues

Hi Fiona,

Thank you for the message. (b) (5)

(b) (5)

--Vernita

-----Original Message-----
From: Fiona Alexander
Sent: Thursday, July 25, 2013 9:16 AM
To: Vernita D. Harris; Ashley Heineman; Suzanne Radell; Elizabeth Bacon
Cc: Jade Nester; Christopher Hemmerlein
Subject: RE: Summary of work items re: technical DNS issues

Hi Vernita

I meant to respond to this a while ago. It's helpful. One point though, (b) (5)

Fiona

This record is not responsive.

This record is not responsive.

This record is not responsive.

This record is not responsive.

From:	Ashley Heineman
To:	Fiona Alexander; Suzanne Radell; Stacy Cheney; Jade Nester; Christopher Hemmerlein; Elizabeth Bacon; John Morris; Vernita D. Harris; Evelyn Remaley
Cc:	Kathy Smith
Subject:	RE: PRIORITY: Comment deadline of 3 pm tomorrow (Wed)- Follow up to Monday meeting:
Date:	Wednesday, September 18, 2013 4:35:00 PM

I still (b) (5)

From: Fiona Alexander
Sent: Wednesday, September 18, 2013 4:33 PM
To: Suzanne Radell; Ashley Heineman; Stacy Cheney; Jade Nester; Christopher Hemmerlein; Elizabeth Bacon; John Morris; Vernita D. Harris; Evelyn Remaley
Cc: Kathy Smith
Subject: RE: PRIORITY: Comment deadline of 3 pm tomorrow (Wed)- Follow up to Monday meeting:

Thanks Ashley and Suzanne (since it was one file) I've (b) (5)

From: Suzanne Radell
Sent: Wednesday, September 18, 2013 3:33 PM
To: Ashley Heineman; Stacy Cheney; Fiona Alexander; Jade Nester; Christopher Hemmerlein; Elizabeth Bacon; John Morris; Vernita D. Harris; Evelyn Remaley
Cc: Kathy Smith
Subject: RE: PRIORITY: Comment deadline of 3 pm tomorrow (Wed)- Follow up to Monday meeting:

Thanks to both Stacey and Ashley for circulating their texts; they both managed to capture my thoughts better than I was able to on my own. I've inserted some specific edits to Ashley's text in particular, because (b) (5)

Suzanne Murray Radell
Senior Policy Advisor
NTIA/Office of International Affairs
PH: 202-482-3167
FX: 202-482-1865

This record is not responsive.

This record is not responsive.

From:	Vernita D. Harris
To:	Fiona Alexander; Suzanne Radell; Jade Nester
Cc:	Elizabeth Bacon; Ashley Heineman
Subject:	RE: Follow up from 1 pm conversation with Larry re: IPC prep
Date:	Wednesday, October 30, 2013 4:32:25 PM

Hi Fiona,

(b) (5)

--Vernita

From: Fiona Alexander
Sent: Wednesday, October 30, 2013 4:30 PM
To: Vernita D. Harris; Suzanne Radell; Jade Nester
Cc: Elizabeth Bacon; Ashley Heineman
Subject: RE: Follow up from 1 pm conversation with Larry re: IPC prep

(b) (5)

From: Vernita D. Harris
Sent: Wednesday, October 30, 2013 4:25 PM
To: Fiona Alexander; Suzanne Radell; Jade Nester
Cc: Elizabeth Bacon; Ashley Heineman
Subject: RE: Follow up from 1 pm conversation with Larry re: IPC prep

Hi Fiona,

(b) (5)

Thanks,

From: Fiona Alexander
Sent: Wednesday, October 30, 2013 4:20 PM
To: Vernita D. Harris; Suzanne Radell; Jade Nester
Cc: Elizabeth Bacon; Ashley Heineman
Subject: RE: Follow up from 1 pm conversation with Larry re: IPC prep

(b) (5)

From: Vernita D. Harris
Sent: Wednesday, October 30, 2013 4:16 PM
To: Fiona Alexander; Suzanne Radell; Jade Nester
Cc: Elizabeth Bacon; Ashley Heineman
Subject: RE: Follow up from 1 pm conversation with Larry re: IPC prep

Hi Fiona,

Just to clarify the (b) (5)

Is this correct?

--Vernita

This record is not responsive.

-----Original Message-----
From: Fiona Alexander [mailto:FAlexander@ntia.doc.gov]
Sent: Wednesday, February 19, 2014 4:52 AM
To: Bouvier, Seth E; Evelyn Remaley; John Morris; Harris, Andrew R; Forrest, Stephanie
Subject: USe this one instead - RE: Globalization WG: human rights language
Importance: High

So I think based on the thread below this is where we ended up.

If that's the case, I'd actually prefer a simplification given the second bullet is somewhat a repeat of the first.

Seth/John - does this work?

Other Agency - Dept. of State

From: Evelyn Remaley [mailto:ERemaley@ntia.doc.gov]
Sent: Tuesday, February 18, 2014 10:48 AM
To: Bouvier, Seth E; John Morris; Harris, Andrew R; Forrest, Stephanie
Cc: Alexander, Fiona (DoC/NTIA)
Subject: RE: Globalization WG: human rights language

I'm fine with either construction, but I think (b) (5)

Other Agency - Dept. of State

From: John Morris [mailto:JMorris@ntia.doc.gov]
Sent: Monday, February 17, 2014 10:47 PM
To: Bouvier, Seth E; Harris, Andrew R; Forrest, Stephanie
Cc: Evelyn Remaley; Alexander, Fiona (DoC/NTIA)
Subject: RE: Globalization WG: human rights language

Seth,

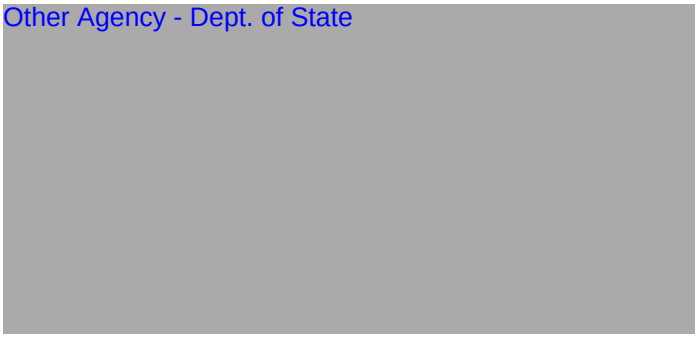
Two reactions:

(b) (5)

My 2 cents.

John

Other Agency - Dept. of State



From: John Morris [mailto:JMorris@ntia.doc.gov]
Sent: Monday, February 17, 2014 01:34 PM
To: Harris, Andrew R; Bouvier, Seth E; Forrest, Stephanie
Cc: Evelyn Remaley <ERemaley@ntia.doc.gov<mailto:ERemaley@ntia.doc.gov>>; Alexander, Fiona (DoC/NTIA)
Subject: RE: Globalization WG: human rights language

Looping in Fiona for her info and status….

All, with a new minor tweak to the second bullet (highlighted in yellow), I suggest the following:

Are we okay with this? Can Fiona include it in her draft?

John

From: John Morris
Sent: Friday, February 14, 2014 7:09 PM
To: Harris, Andrew R; Bouvier, Seth E; Forrest, Stephanie
Cc: Evelyn Remaley
Subject: RE: Globalization WG: human rights language

Works for me. If all of you are okay with this, feel free to send it on to Fiona (or I can). John

Other Agency - Dept. of State

----- Original Message -----
From: John Morris [mailto:JMorris@ntia.doc.gov]
Sent: Friday, February 14, 2014 06:01 PM
To: Bouvier, Seth E; Harris, Andrew R; Forrest, Stephanie
Cc: Evelyn Remaley <ERemaley@ntia.doc.gov<mailto:ERemaley@ntia.doc.gov>>
Subject: RE: Globalization WG: human rights language

Adding Evelyn....

I am fine with dropping the first bullet. I have suggested -- just as brainstorming -- a somewhat different framing below. Reactions? The first bullet is too wordy...

John

(b) (5)

Other Agency - Dept. of State

This record is not responsive.

From: Daniel, J. Michael (b) (6) (b)(6)
Sent: Monday, December 02, 2013 9:31 AM
To: Larry Strickling; Takai, Teri M SES DoD CIO; Painter, Christopher M; sepulvedada@state.gov
Cc: Greenwald, Eric; Stifel, Megan
Subject: (b) (5) meeting

(B)(5)

Larry, Teri, Chris, and Danny – Just wanted to give y'all a heads up that some of the issues that we've been discussing (b) (5)

(b) (5)

(b)(5)

Michael

Michael Daniel
Special Assistant to the President
Cybersecurity Coordinator
(b) (6) (b)(6)

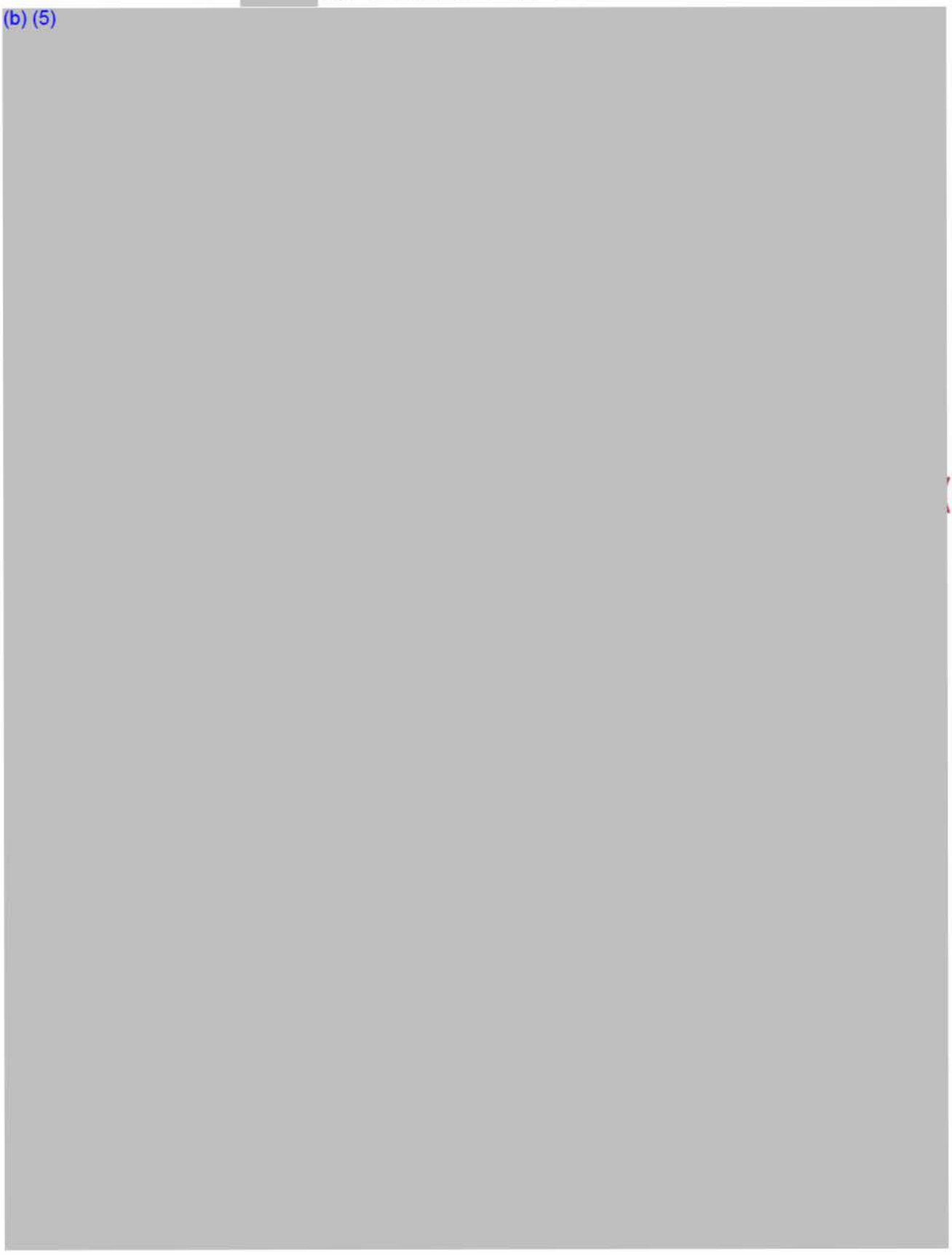
Tab E

(B)(5)

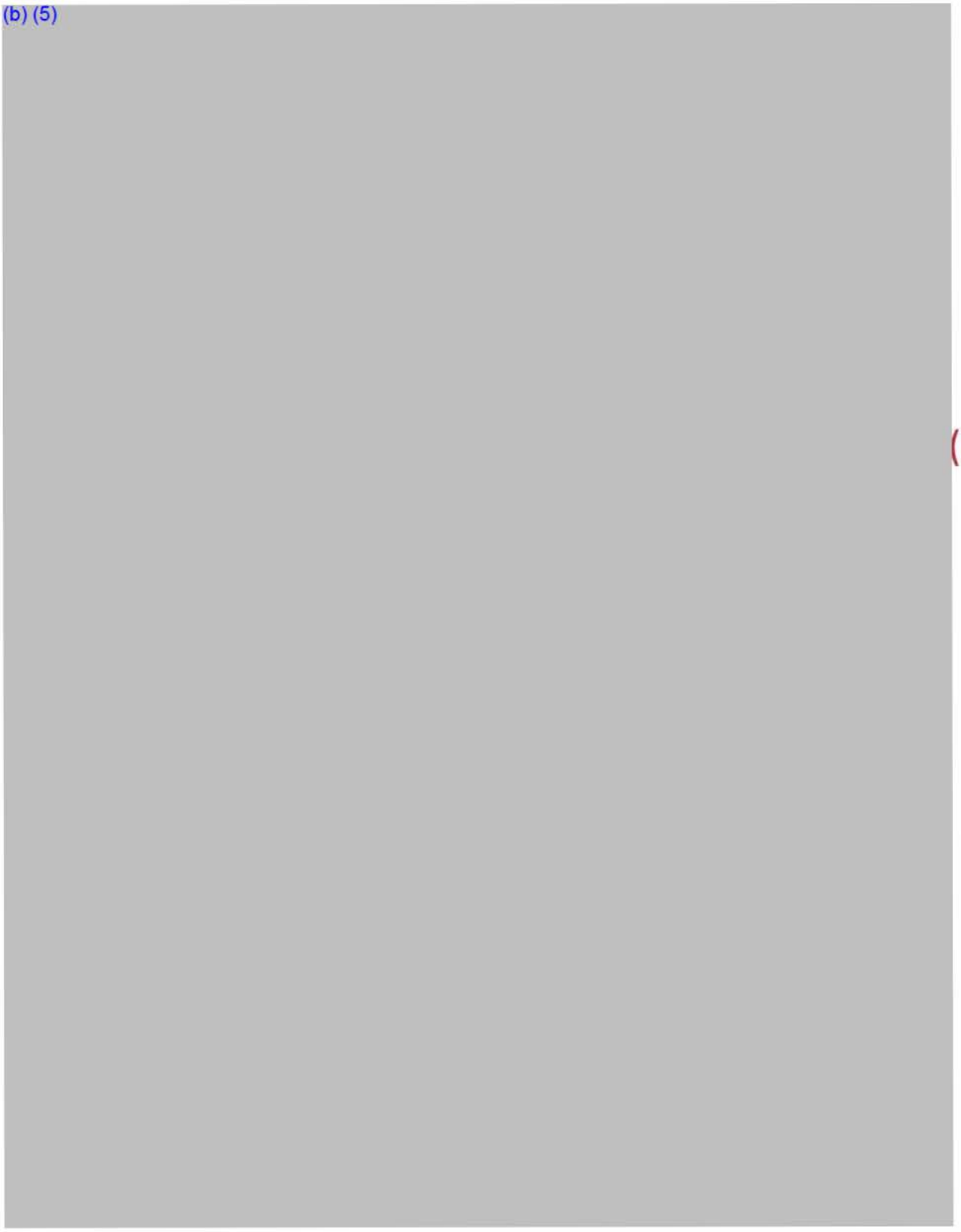
(b) (5)

Cyber Policy Proposals

(b) (5)



(b)(5)


(b) (5)
(b)(5)

From: Fiona Alexander
To: Edelman, R. David; Angela Simpson; John Morris
Cc: Larry Strickling
Subject: RE: read ahead for tomorrow"s DC
Date: Tuesday, December 03, 2013 3:56:23 PM
Attachments: RE read ahead for tomorrow"s DC.msg

This record is not responsive.

I talked to Megan and attached is the email follow up I just sent to her and (b) (6) as well as (b) (6) seemingly positive response. A few data points for tomorrow's meeting:

(b) (5)

Fiona

This record is not responsive.

From: Fiona Alexander
To: Stifel, Megan; (b) (6)
Cc: Edelman, R. David
Subject: RE: read ahead for tomorrow"s DC
Date: Tuesday, December 03, 2013 3:45:00 PM

Thanks Megan for the papers and the heads up. As we just discussed (b) (5)
(b) (5)

Thanks again for the phone call providing the context for tomorrow's meeting.

Fiona

This record is not responsive.

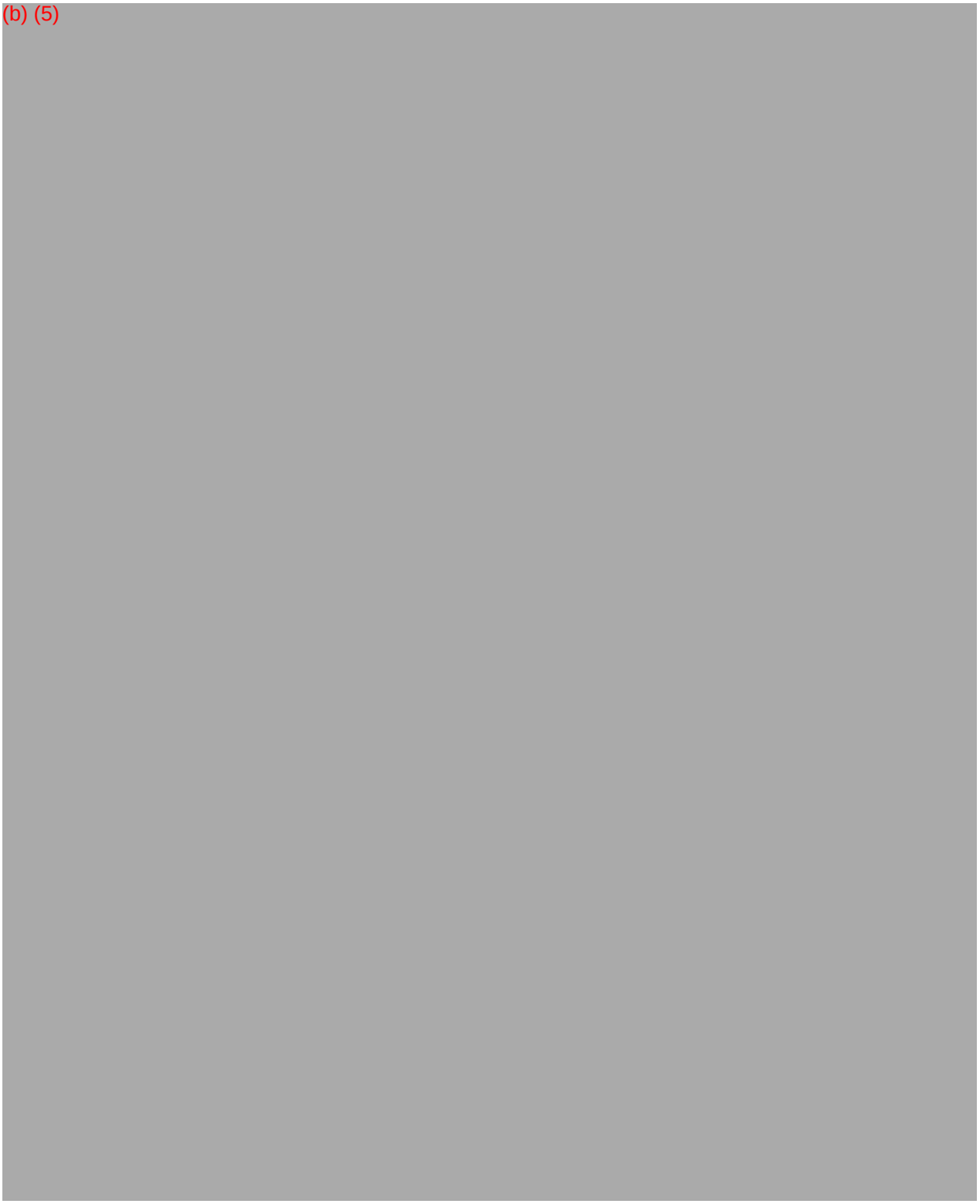
This record is not responsive.

From: Jade Nester
To: Angela Simpson
Subject: IANA Globalization Follow-up
Date: Wednesday, December 18, 2013 4:57:00 PM

Hi Angie,

Here's a summary of the IANA globalization issue, according to staff:

(b) (5)



(b) (5)

This record is not responsive.

This record is not responsive.

This record is not responsive.

From: John Morris
To: Larry Strickling; Fiona Alexander
Cc: Angela Simpson; Vernita D. Harris; Suzanne Radell; Evelyn Remaley; Kathy Smith; Jade Nester
Subject: RE: Draft IPB/IPC Milestones Document
Date: Thursday, January 16, 2014 4:33:24 PM

Evelyn and I have quickly reviewed, and want to raise two small points:

(b) (5)

Our 2 cents, John

This record is not responsive.

This record is not responsive.

From: Fiona Alexander
Sent: Monday, January 13, 2014 1:57 PM
To: Jade Nester; Larry Strickling
Cc: Angela Simpson; Vernita D. Harris; Suzanne Radell; John Morris; Evelyn Remaley; Kathy Smith
Subject: RE: Draft IPB/IPC Milestones Document

After a short discussion with Larry I've edited the document (b) (5)

(b) (5)

Larry with the aim of keeping this to one page, I've kept this very short so if you want points on the rationale further expanded just say so. (b) (5)

Clean copy as well as a redline attached.

Fiona

This record is not responsive.

From: Fiona Alexander

To: Roman, Peter; Ashley Heineman; clayton.romans@HQ.DHS.GOV; (b) (6); Elizabeth Bacon; harrisAR@state.gov; jordana.siegel@HQ.DHS.GOV; kimble_andrew@bah.com; (b) (6); micaela.klein@associates.HQ.DHS.GOV; (b) (6); Flaim, Robert (FBI); Seth Bouvier; Suzanne Radell; Vernita D. Harris; John Morris; Kathy Smith; "David Edelman"; Tim Polk

Cc: (b) (6); Bartee, Donna M. (FBI); Evelyn Remaley

Subject: RE: Next steps: Globalization Working Group

Date: Tuesday, January 28, 2014 10:06:56 AM

(b) (5)

Other Agency - DOJ

This record is not responsive.

From:	Fiona Alexander
To:	Tim Polk ((b) (6)); John Morris
Subject:	FW: draft-iab-iana-framework-01.txt
Date:	Thursday, February 06, 2014 9:55:47 AM

Can the three of us find 15 minutes to chat about the IANA globalization and interacting with IETF/IAB. It's important we are sending them the same signals and I know in addition to approaching Larry and I they have or will approach you both.

-----Original Message-----
From: Larry Strickling
Sent: Thursday, January 23, 2014 6:59 PM
To: Russ Housley
Cc: Fadi Chehade (fadi.chehade@icann.org); Fiona Alexander
Subject: RE: draft-iab-iana-framework-01.txt

Russ

Thank you for bringing this document to our attention and for the work of the IAB on these important issues. As we discussed in Argentina, the leadership of the I Stars over the past few months to have the Internet technical community take a leadership role in the global debate on Internet governance is a welcome turn of events. In that spirit, I urge you and the IAB to undertake your consideration of possible options for the evolution of current systems in a fully multistakeholder, open environment. I also encourage you to continue to collaborate closely with ICANN on these matters as it is the entity with which the United States has contracted for the performance of the IANA functions.

Larry

-----Original Message-----
From: Russ Housley [mailto:housley@vigilsec.com]
Sent: Wednesday, January 22, 2014 11:16 AM
To: Larry Strickling
Cc: Fiona Alexander
Subject: draft-iab-iana-framework-01.txt

Larry:

I want you to be aware of this document that the IAB is working on:

http://www.ietf.org/id/draft-iab-iana-framework-01.txt

We will soon be drawing more attention to this document to get comment from all over the Internet community.

Russ

From: Kathy Smith
To: Fiona Alexander; Larry Strickling
Cc: Angela Simpson; Jade Nester; Stacy Cheney
Subject: A/C Privileged Communication re: IANA Transition
Date: Monday, February 10, 2014 1:37:14 PM

Dear Larry and Fiona: (b) (5) [redacted]

[redacted]

[redacted]

[redacted]

Kathy

From: Fiona Alexander
Sent: Monday, February 10, 2014 12:36 PM
To: Larry Strickling
Cc: Angela Simpson; Jade Nester; Kathy Smith
Subject: RE: IANA Transition

(b) (5)

From: Larry Strickling
Sent: Monday, February 10, 2014 12:30 PM
To: Fiona Alexander; Jade Nester
Cc: Angela Simpson
Subject: IANA Transition

(b) (5)

Sent from my Verizon Wireless 4G LTE Smartphone

From: Larry Strickling
To: Fiona Alexander; Jade Nester
Cc: Angela Simpson
Subject: IANA Transition
Date: Monday, February 10, 2014 12:30:22 PM

Have we asked for (b) (5) [redacted] ? We need this so please get the work going if we have not already done so.

Sent from my Verizon Wireless 4G LTE Smartphone

From:	Fiona Alexander
To:	Flaim, Robert; Polk, Tim; Ashley Heineman; "clayton.romans@HQ.DHS.GOV"; (b) (6); Elizabeth Bacon; "harrisAR@state.gov"; "jordana.siegel@HQ.DHS.GOV"; "kimble_andrew@bah.com"; Stifel, Megan; "micaela.klein@associates.HQ.DHS.GOV"; (b) (6); "Seth Bouvier"; Suzanne Radell; Vernita D. Harris; John Morris; Kathy Smith; Edelman, R. David; '(b) (6); Roman, Peter (CRM); Bartee, Donna M.; drc@virtualized.org; Evelyn Remaley
Cc:	(b) (6) Evelyn Remaley
Subject:	RE: Globalization Working Group: Criterian document to review, COMMENTS DUE WED 2/12 COB
Date:	Thursday, February 13, 2014 3:39:36 PM

Thanks Bobby

I think I've heard from everyone now so will work on a consolidated document and circulate later today. At this point we'll still plan on meeting in person tomorrow at DOC. As the meeting is scheduled for 11 am this should be ok even if there is a delayed arrival. If OPM does close the federal government tomorrow we'll look to reschedule as I'm not convinced a phone bridge with everyone on it would be the most efficient way to sort through the document.

On the (b) (5)

On the last point, (b) (5)

Fiona

_____

Referral to FBI

Other Agency - FBI

From: Fiona Alexander [FAlexander@ntia.doc.gov]
Sent: Thursday, February 13, 2014 12:07 PM
To: Polk, Tim; Ashley Heineman; 'clayton.romans@HQ.DHS.GOV'; (b) (6); Elizabeth Bacon; 'harrisAR@state.gov'; 'jordana.siegel@HQ.DHS.GOV'; 'kimble_andrew@bah.com'; Stifel, Megan; 'micaela.klein@associates.HQ.DHS.GOV'; (b) (6); Flaim, Robert; 'Seth Bouvier'; Suzanne Radell; Vernita D. Harris; John Morris; Kathy Smith; Edelman, R. David; '(b) (6)'; Roman, Peter (CRM); Bartee, Donna M.
Cc: '(b) (6)
Subject: RE: Globalization Working Group: Criterian document to review, COMMENTS DUE WED 2/12 COB

Hi Tim

At this point all we are doing is (b) (5)

Fiona

Other Agency - OSTP

-----Original Message-----
From: Fiona Alexander [mailto:FAlexander@ntia.doc.gov]
Sent: Thursday, February 13, 2014 11:50 AM
To: Polk, Tim; Ashley Heineman; 'clayton.romans@HQ.DHS.GOV'; (b) (6); Elizabeth Bacon; 'harrisAR@state.gov'; 'jordana.siegel@HQ.DHS.GOV'; 'kimble_andrew@bah.com'; Stifel, Megan; 'micaela.klein@associates.HQ.DHS.GOV'; (b) (6); 'robert.flaim(b) (6); 'Seth Bouvier'; Suzanne Radell; Vernita D. Harris; John Morris; Kathy Smith; Edelman, R. David; (b) (6); 'Roman, Peter'; 'Bartee, Donna M.'

Cc: (b) (6)
Subject: RE: Globalization Working Group: Criterian document to review, COMMENTS DUE WED 2/12 COB

(b) (5)

One substantive reaction though to your suggestions, we need to (b) (5)

This record is not responsive.

This record is not responsive.

This record is not responsive.

From: Evelyn Remaley
Sent: Thursday, February 13, 2014 4:54 PM
To: Fiona Alexander
Subject: Re: Globalization Working Group: Criterian document to review, COMMENTS DUE WED 2/12 COB

(b) (6) . I (b) (5)

I guess a question is whether (b) (5)

Tim is (b) (5)

Sent from my iPhone

On Feb 13, 2014, at 4:28 PM, "Evelyn Remaley" <ERemaley@ntia.doc.gov> wrote:

> (b) he seems to be (b) (5)
>
> At first I paused re: (b) (5)
>
> Sent from my iPhone
>
> On Feb 13, 2014, at 3:41 PM, "Fiona Alexander" <FAlexander@ntia.doc.gov> wrote:
>
>> Here's the thread. I'll send you his suggestions.
>> _____
>> From: Fiona Alexander
>> Sent: Thursday, February 13, 2014 12:07 PM
>> To: Polk, Tim; Ashley Heineman; 'clayton.romans@HQ.DHS.GOV'; (b) (6) '; Elizabeth Bacon; 'harrisAR@state.gov'; 'jordana.siegel@HQ.DHS.GOV'; 'kimble_andrew@bah.com'; Stifel, Megan; 'micaela.klein@associates.HQ.DHS.GOV'; (b) (6) ; 'robert.flaim@(b) (6) ; 'Seth Bouvier'; Suzanne Radell; Vernita D. Harris; John Morris; Kathy Smith; Edelman, R. David; (b) (6) '; 'Roman, Peter'; 'Bartee, Donna M.'
>> Cc: (b) (6)
>> Subject: RE: Globalization Working Group: Criterian document to review, COMMENTS DUE WED 2/12 COB
>>
>> Hi Tim
>>
>> At this point all we are doing is (b) (5)

(b) (5)

>>
>> Fiona
>>
Other Agency - OSTP

>> -----Original Message-----
>> From: Fiona Alexander [mailto:FAlexander@ntia.doc.gov]
>> Sent: Thursday, February 13, 2014 11:50 AM
>> To: Polk, Tim; Ashley Heineman; 'clayton.romans@HQ.DHS.GOV'; '(b) (6) '; Elizabeth Bacon; 'harrisAR@state.gov'; 'jordana.siegel@HQ.DHS.GOV'; 'kimble_andrew@bah.com'; Stifel, Megan; 'micaela.klein@associates.HQ.DHS.GOV'; '(b) (6) '; 'robert.flaim(b) (6) '; 'Seth Bouvier'; Suzanne Radell; Vernita D. Harris; John Morris; Kathy Smith; Edelman, R. David; '(b) (6) '; 'Roman, Peter'; 'Bartee, Donna M.'
>> Cc: (b) (6)
>> Subject: RE: Globalization Working Group: Criterian document to review, COMMENTS DUE WED 2/12 COB
>>
(b) (5)

>> One substantive reaction though to your suggestions, we need to (b) (5)

This record is not responsive.

From: Suzanne Radell
To: Jade Nester
Subject: RE: For tomorrow"s Globalization Working Group meeting, 11 am 4896
Date: Friday, February 14, 2014 2:49:08 PM

The meeting covered most of these points. It also seems clear that (b) (5) [redacted]

From: Jade Nester
Sent: Friday, February 14, 2014 1:38 PM
To: Suzanne Radell
Subject: RE: For tomorrow's Globalization Working Group meeting, 11 am 4896

Did anyone respond to this email, or did it get sorted out at the meeting?

-----Original Message-----
From: Suzanne Radell
Sent: Friday, February 14, 2014 11:03 AM
To: Jade Nester
Subject: FW: For tomorrow's Globalization Working Group meeting, 11 am 4896

Fwiw

From: Suzanne Radell
Sent: Friday, February 14, 2014 10:57 AM
To: John Morris; Fiona Alexander; Ashley Heineman; Elizabeth Bacon; Evelyn Remaley; Stacy Cheney; Vernita D. Harris
Subject: RE: For tomorrow's Globalization Working Group meeting, 11 am 4896

Hi John, and thanks for your comments; they do help. And they also raise a question or two, which I'm posing simply to better understand our thinking as NTIA. (b) (5) [redacted] Cheers, Suz Suzanne Murray Radell Senior Policy Advisor NTIA/Office of International Affairs
PH: 202-482-3167
FX: 202-482-1865

From: John Morris
Sent: Friday, February 14, 2014 10:27 AM
To: Suzanne Radell; Fiona Alexander; Ashley Heineman; Elizabeth Bacon; Evelyn Remaley; Stacy Cheney; Vernita D. Harris
Subject: RE: For tomorrow's Globalization Working Group meeting, 11 am 4896

My 2 cents are that:

(b) (5) [redacted]

(b) (5) [redacted]

Not sure if this helps or not....

John

-----Original Message-----
From: Suzanne Radell
Sent: Friday, February 14, 2014 9:17 AM
To: Fiona Alexander; Ashley Heineman; Elizabeth Bacon; Evelyn Remaley; John Morris; Stacy Cheney; Vernita D. Harris
Subject: RE: For tomorrow's Globalization Working Group meeting, 11 am 4896

Hi again, and apologies if my earlier points were not as clear as they should be.

I fully understand that we (b) (5) [redacted]

My first concern and/or source of confusion is that (b) (5) [redacted]

My second concern is that (b) (5) [redacted]

So my suggestion is that we (b) (5) [redacted]

Again, it strikes me that we'd want (b) (5) [redacted]

_____
From: Fiona Alexander
Sent: Friday, February 14, 2014 8:14 AM

To: Suzanne Radell; Ashley Heineman; Elizabeth Bacon; Evelyn Remaley; John Morris; Stacy Cheney; Vernita D. Harris
Subject: RE: For tomorrow's Globalization Working Group meeting, 11 am 4896

Hi Suzanne

Thanks for the quick feed back. On your second point, I thought I had specifically accepted your proposed edits to address this issue which I think we all agree with. I'm still having problems opening attachments on the Samsung so if you haven't already included additional changes to that bullet/sentence can you send them in response to this, directly in the text of the email.

On the first point, (b) (5) [redacted].

Fiona

_____

From: Suzanne Radell
Sent: Friday, February 14, 2014 7:47 AM
To: Fiona Alexander; Ashley Heineman; Elizabeth Bacon; Evelyn Remaley; John Morris; Stacy Cheney; Vernita D. Harris
Subject: RE: For tomorrow's Globalization Working Group meeting, 11 am 4896

Thanks for sharing the revised version. I've inserted some comments and questions for NTIA colleagues only (b) (5) [redacted]

I've got an 8 a.m. conference call and will telework for the rest of the day. Happy to dial in to this meeting if someone could send along the phone number for 4896. Thanks, Suz

This record is not responsive. [redacted]

From: Fiona Alexander
To: John Morris
Cc: Evelyn Remaley
Subject: RE: Globalization WG: human rights language
Date: Monday, February 17, 2014 1:29:30 PM

On the second sub bullet would substitute (b) (5)

-------- Original message --------
From: John Morris
Date:02/17/2014 1:23 PM (GMT-05:00)
To: Fiona Alexander
Cc: Evelyn Remaley
Subject: FW: Globalization WG: human rights language

Fiona, FYI, below is the current text in play between me and State, but I have not heard back from State….

(b) (5)

Any reactions?

John

From: John Morris
Sent: Friday, February 14, 2014 7:09 PM
To: Harris, Andrew R; Bouvier, Seth E; Forrest, Stephanie
Cc: Evelyn Remaley
Subject: RE: Globalization WG: human rights language

Works for me. If all of you are okay with this, feel free to send it on to Fiona (or I can). John

Other Agency - Dept. of State

----- Original Message -----
From: John Morris [mailto:JMorris@ntia.doc.gov]
Sent: Friday, February 14, 2014 06:01 PM
To: Bouvier, Seth E; Harris, Andrew R; Forrest, Stephanie
Cc: Evelyn Remaley <ERemaley@ntia.doc.gov>
Subject: RE: Globalization WG: human rights language

Adding Evelyn....

I am fine with dropping the first bullet. I have suggested -- just as brainstorming -- a somewhat different framing below. Reactions? The first bullet is too wordy...

John

3 Pages

Withheld in their entirety pursuant to FOIA Exemption 4 (5 U.S.C. § 552 (b)(4))

From:	Fiona Alexander
To:	Bouvier, Seth E; Evelyn Remaley; John Morris; Harris, Andrew R; Forrest, Stephanie
Subject:	To close this out - RE: Globalization WG: human rights language
Date:	Wednesday, February 19, 2014 3:51:23 AM
Importance:	High

Just catching up with the thread but is there were you all ended up

(b) (5)

I may shift the word order a bit to match the style and tense of the other sections. For example the heading would be "Maintain the openness of the Internet"

Other Agency - Dept. of State

From: Evelyn Remaley [mailto:ERemaley@ntia.doc.gov]
Sent: Tuesday, February 18, 2014 10:48 AM
To: Bouvier, Seth E; John Morris; Harris, Andrew R; Forrest, Stephanie
Cc: Alexander, Fiona (DoC/NTIA)
Subject: RE: Globalization WG: human rights language

I'm fine with either construction, but I think (b) (5)

Other Agency - Dept. of State

Other Agency - Dept. of State

From: John Morris [mailto:JMorris@ntia.doc.gov]
Sent: Monday, February 17, 2014 10:47 PM
To: Bouvier, Seth E; Harris, Andrew R; Forrest, Stephanie
Cc: Evelyn Remaley; Alexander, Fiona (DoC/NTIA)
Subject: RE: Globalization WG: human rights language

Seth,

Two reactions:

(b) (5)

My 2 cents.

John

Other Agency - Dept. of State

From: John Morris [mailto:JMorris@ntia.doc.gov]
Sent: Monday, February 17, 2014 01:34 PM
To: Harris, Andrew R; Bouvier, Seth E; Forrest, Stephanie
Cc: Evelyn Remaley <ERemaley@ntia.doc.gov<mailto:ERemaley@ntia.doc.gov>>; Alexander, Fiona (DoC/NTIA)
Subject: RE: Globalization WG: human rights language

Looping in Fiona for her info and status....

All, with a new minor tweak to the second bullet (highlighted in yellow), I suggest the following:

(b) (5)

(b) (5)

Are we okay with this? Can Fiona include it in her draft?

John

From: John Morris
Sent: Friday, February 14, 2014 7:09 PM
To: Harris, Andrew R; Bouvier, Seth E; Forrest, Stephanie
Cc: Evelyn Remaley
Subject: RE: Globalization WG: human rights language

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----- Original Message -----
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Sent: Friday, February 14, 2014 06:01 PM
To: Bouvier, Seth E; Harris, Andrew R; Forrest, Stephanie
Cc: Evelyn Remaley <ERemaley@ntia.doc.gov<mailto:ERemaley@ntia.doc.gov>>
Subject: RE: Globalization WG: human rights language

Adding Evelyn....

I am fine with dropping the first bullet. I have suggested -- just as brainstorming -- a somewhat different framing below. Reactions? The first bullet is too wordy...

John

(b) (5)

-----Original Message-----


Other Agency - Dept. of State

INFORMATION MEMORANDUM FOR THE SECRETARY

February 21, 2014

FROM: Lawrence E. Strickling
Assistant Secretary for Communications and Information

SUBJECT: Opportunity to Support the Multistakeholder Model of Internet Governance

NTIA evaluated steps it might take to further enhance and improve the global multistakeholder model for Internet governance. As such, we have examined the unique role NTIA plays regarding the coordination of the Internet domain name system (DNS) in administering the Internet Assigned Numbers Authority (IANA) functions contract. In this memo, we offer an analysis, propose a way forward, and establish a timeline of next steps.

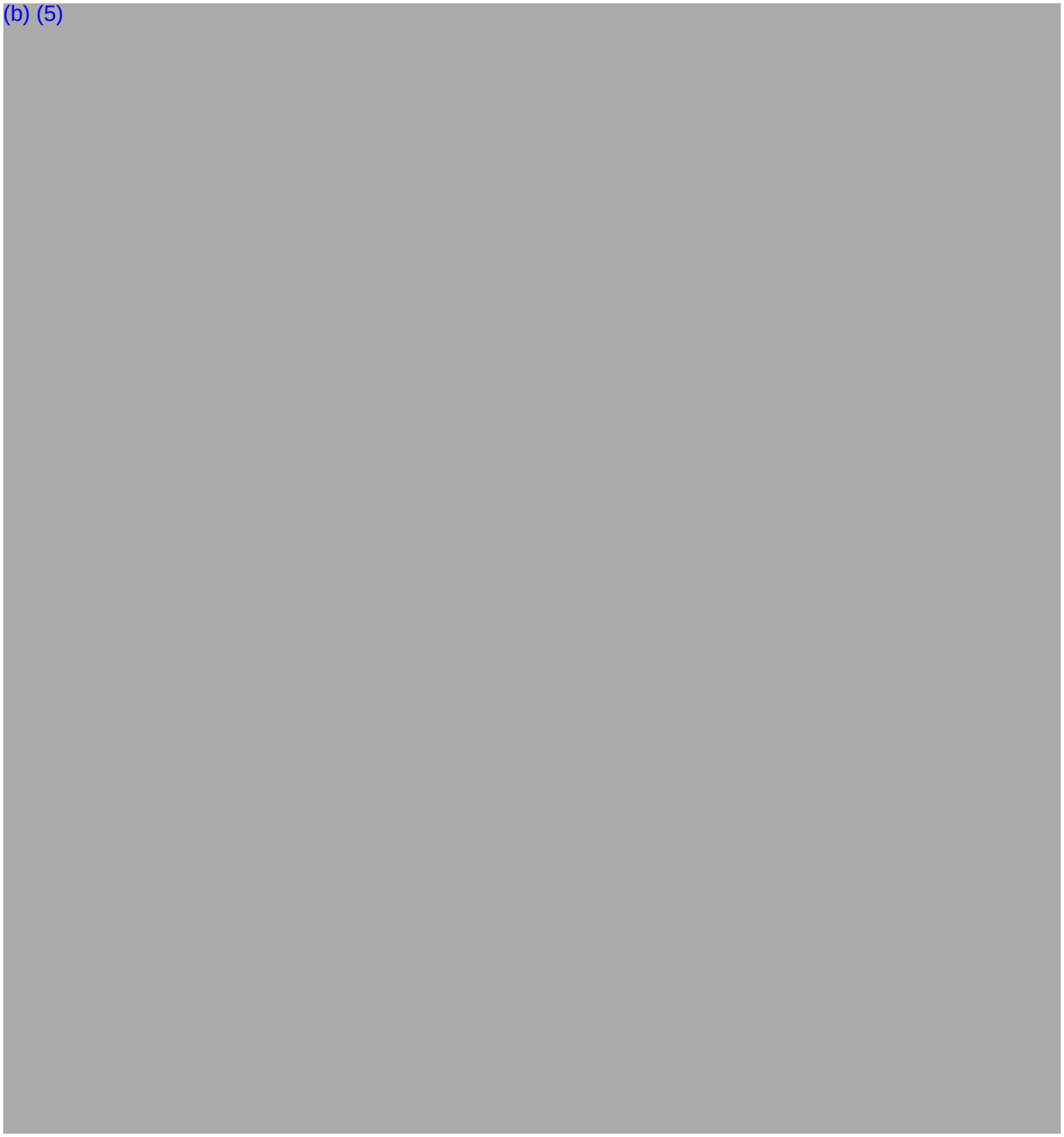
BACKGROUND: The IANA functions are a set of interdependent technical functions that enable the continued efficient operation of the Internet. The IANA functions include (1) the coordination of the assignment of technical Internet protocol parameters; (2) the administration of certain responsibilities associated with Internet domain name system (DNS) root zone management; (3) the allocation of Internet numbering resources; and (4) other services related to the management of the ARPA and INT top-level domains (TLDs).

The IANA functions were initially performed under a series of contracts between the Department of Defense's Advanced Research Projects Agency (DARPA) and the University of Southern California (USC), as part of a research project known as the Terranode Network Technology (TNT). The role was delegated to NTIA when a Presidential directive was issued in 1997 to privatize and internationalize the coordination of the DNS. NTIA entered into a sole source no cost to the government contract for these functions with the Internet Corporation for Assigned Names and Numbers (ICANN) in February 2000, and again in August 2005. On July 2, 2012, NTIA awarded ICANN, via an open and competitive procurement process, the contract for the period of October 1, 2012 - September 30, 2015, with the potential for two separate two-year option periods for a total possible contract period of seven years.

(b) (5) [redacted]

PROPOSAL: (b) (5) [redacted]

(b) (5)



TIMELINE/MILESTONES:

(b) (5)

(b) (5)

From: Didiuk, Lauren
To: Kathy Smith
Cc: Stacy Cheney; McClelland, Michelle
Subject: RE: DRAFT IANA Functions Contract Transition Memo for A/S Strickling
Date: Friday, February 28, 2014 6:26:39 PM

Hi Kathy,

(b) (5) [redacted]

Have a wonderful weekend.

Lauren Didiuk
Chief, Contract Law Division
Office of the Assistant General Counsel for Finance and Litigation
Office of the General Counsel
U.S. Department of Commerce
Phone (202) 482-6281
Fax (202) 482-5858

From: Kathy Smith [mailto:KSmith@ntia.doc.gov]
Sent: Friday, February 28, 2014 6:24 PM
To: Didiuk, Lauren
Cc: Cheney, Stacy; McClelland, Michelle
Subject: DRAFT IANA Functions Contract Transition Memo for A/S Strickling
Importance: High

Dear Lauren: (b) (5) [redacted]

(b) (5)

Thank you again for your assistance. Kathy Smith

From: Fiona Alexander
To: Suzanne Radell; Vernita D. Harris; Elizabeth Bacon; John Morris; Evelyn Remaley
Subject: FW: Options memo
Date: Monday, March 03, 2014 4:35:05 PM
Attachments: This record is not responsive.

Hopefully we are back in the office tomorrow and I can walk you all through this, but in short (b) (5)

Juliana is (b) (5)

Fiona

_____

From: Fiona Alexander
Sent: Monday, March 03, 2014 4:26 PM
To: Larry Strickling
Cc: Jim Wasilewski; Heather Phillips; Juliana Gruenwald; Jade Nester
Subject: Options memo

Hi Larry

Attached is an attempt to put all of this in one place and clear visual of what the options are. Based on this my recommendation is (b) (5) .

Fiona

From: Kathy Smith
To: "Didiuk, Lauren"
Cc: Stacy Cheney; McClelland, Michelle
Subject: RE: DRAFT IANA Functions Contract Transition Memo for A/S Strickling
Date: Tuesday, March 04, 2014 4:05:00 PM

Dear Lauren: (b) (5)

Thanks! Kathy

From: Didiuk, Lauren [mailto:LDidiuk@doc.gov]
Sent: Tuesday, March 04, 2014 4:01 PM
To: Kathy Smith
Cc: Stacy Cheney; McClelland, Michelle
Subject: RE: DRAFT IANA Functions Contract Transition Memo for A/S Strickling

Kathy,

(b) (5) I am available at your convenience to discuss further.

Lauren Didiuk
Chief, Contract Law Division
Office of the Assistant General Counsel for Finance and Litigation
Office of the General Counsel
U.S. Department of Commerce
Phone (202) 482-6281
Fax (202) 482-5858

From: Kathy Smith [mailto:KSmith@ntia.doc.gov]
Sent: Friday, February 28, 2014 6:24 PM
To: Didiuk, Lauren
Cc: Cheney, Stacy; McClelland, Michelle
Subject: DRAFT IANA Functions Contract Transition Memo for A/S Strickling
Importance: High

Dear Lauren: (b) (5)

(b) (5)

[redacted]

[redacted]

[redacted] Thank you again for your assistance. Kathy Smith

From: Kathy Smith
To: "Didiuk, Lauren"
Cc: Stacy Cheney; McClelland, Michelle
Subject: RE: DRAFT IANA Functions Contract Transition Memo for A/S Strickling
Date: Tuesday, March 04, 2014 5:50:00 PM
Attachments: This record is not .
Importance: High

Dear Lauren: (b) (5)

Thanks again. Kathy

From: Didiuk, Lauren [mailto:LDidiuk@doc.gov]
Sent: Tuesday, March 04, 2014 4:01 PM
To: Kathy Smith
Cc: Stacy Cheney; McClelland, Michelle
Subject: RE: DRAFT IANA Functions Contract Transition Memo for A/S Strickling

Kathy,

(b) (5) I am available at your convenience to discuss further.

Lauren Didiuk
Chief, Contract Law Division
Office of the Assistant General Counsel for Finance and Litigation
Office of the General Counsel
U.S. Department of Commerce
Phone (202) 482-6281
Fax (202) 482-5858

From: Kathy Smith [mailto:KSmith@ntia.doc.gov]
Sent: Friday, February 28, 2014 6:24 PM
To: Didiuk, Lauren
Cc: Cheney, Stacy; McClelland, Michelle
Subject: DRAFT IANA Functions Contract Transition Memo for A/S Strickling
Importance: High

Dear Lauren: (b) (5)

(b) (5)

[redacted]

[redacted]

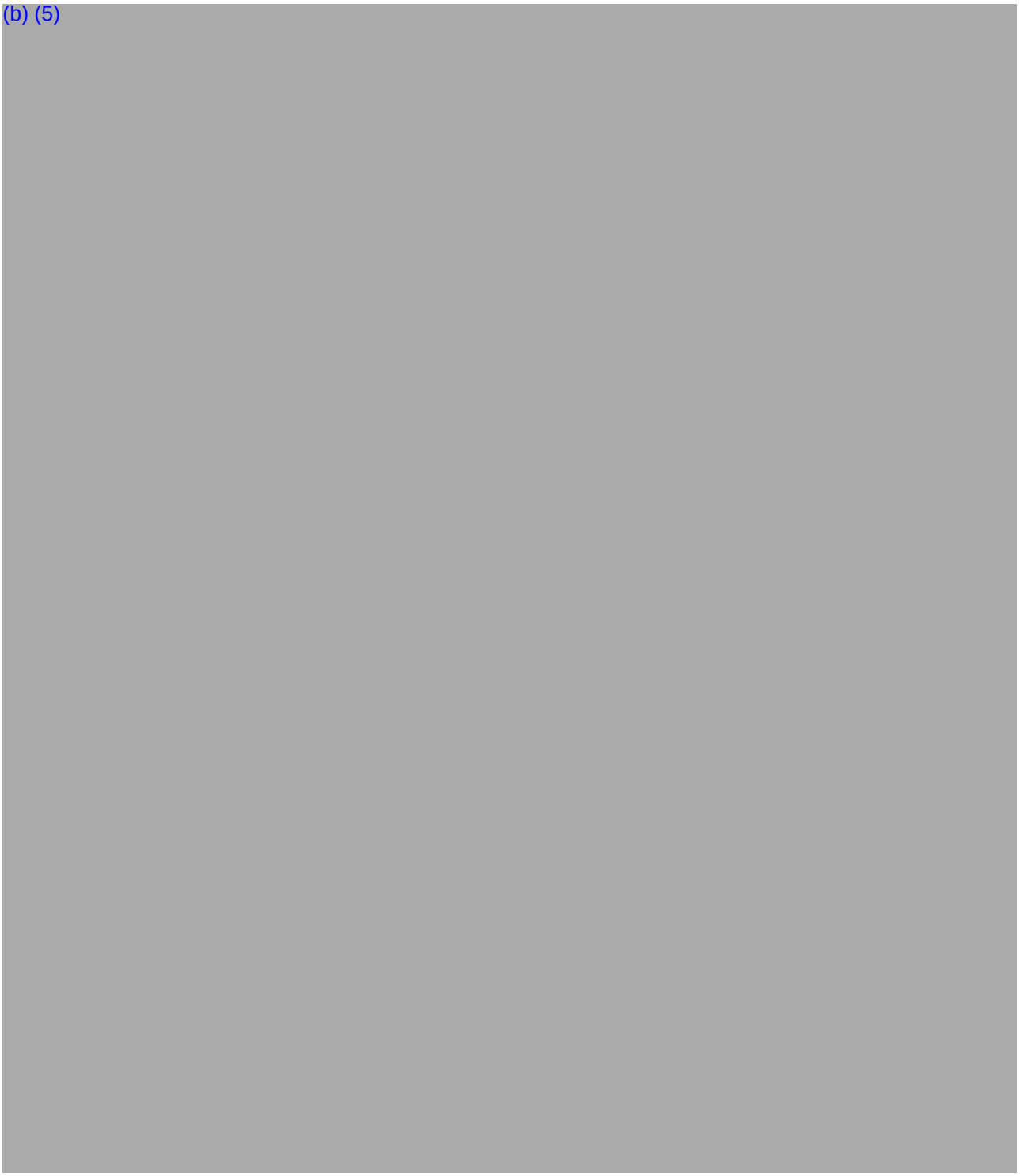
[redacted] Thank you again for your assistance. Kathy Smith

MEMORANDUM FOR: A/S Strickling

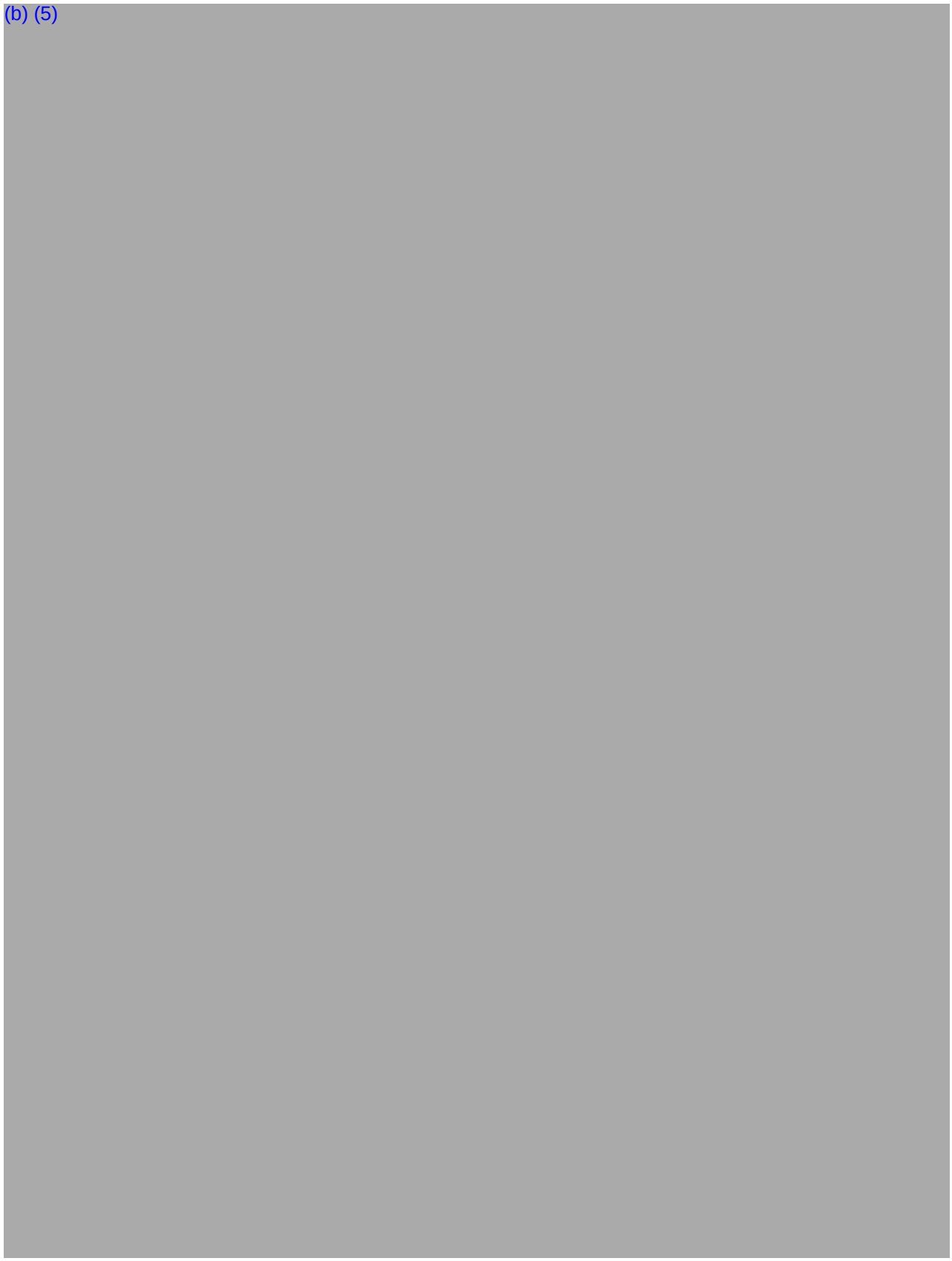
SUBJECT: Timeline Options for the Public Release of a USG Statement on the further "Internationalization" of the U.S. Role in ICANN [(e.g. either IANA and/or the AOC)]

FROM: Vernita Harris, Acting Associate Administrator, OIA

(b) (5)



(b) (5)



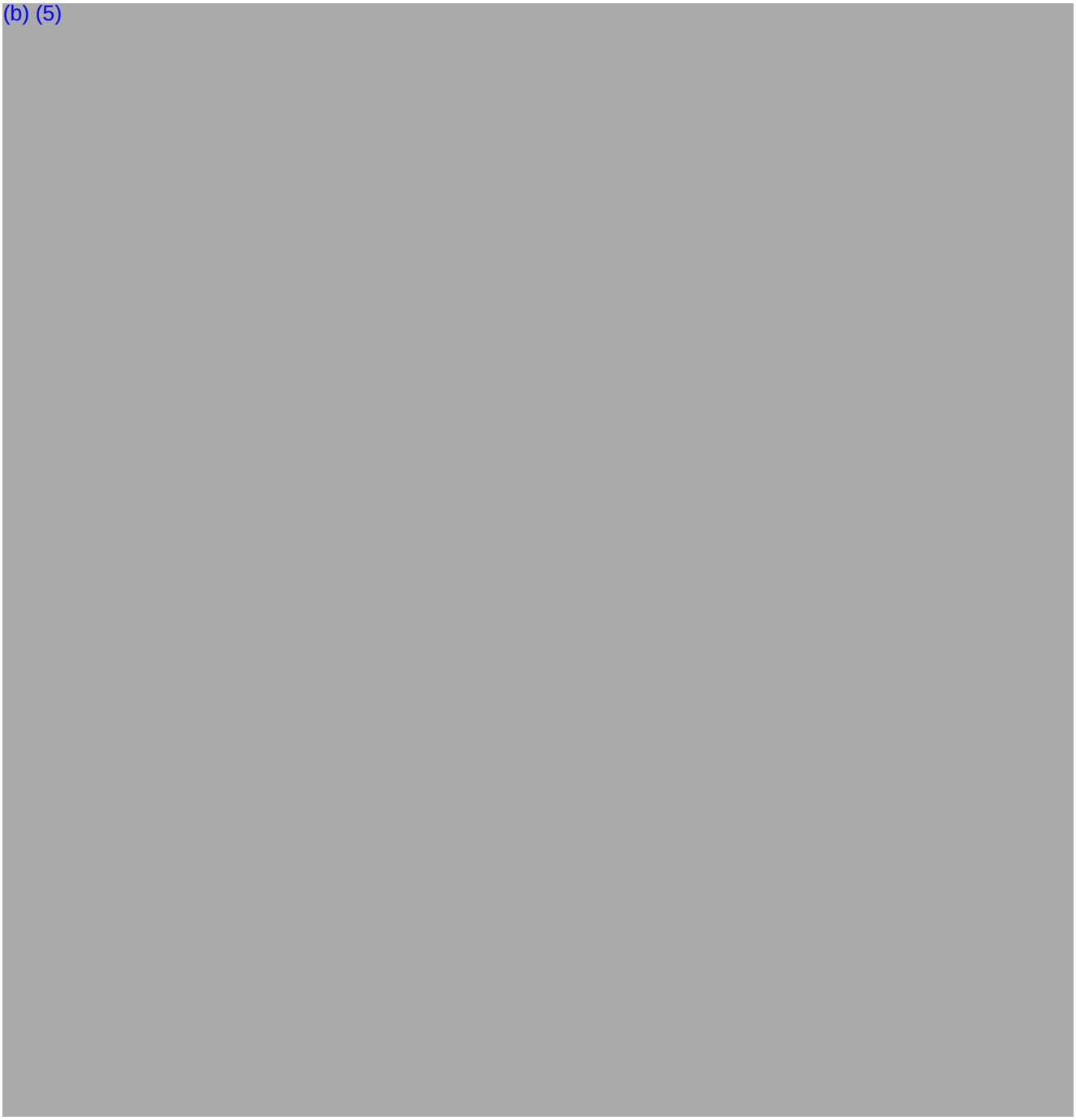

(b) (5)

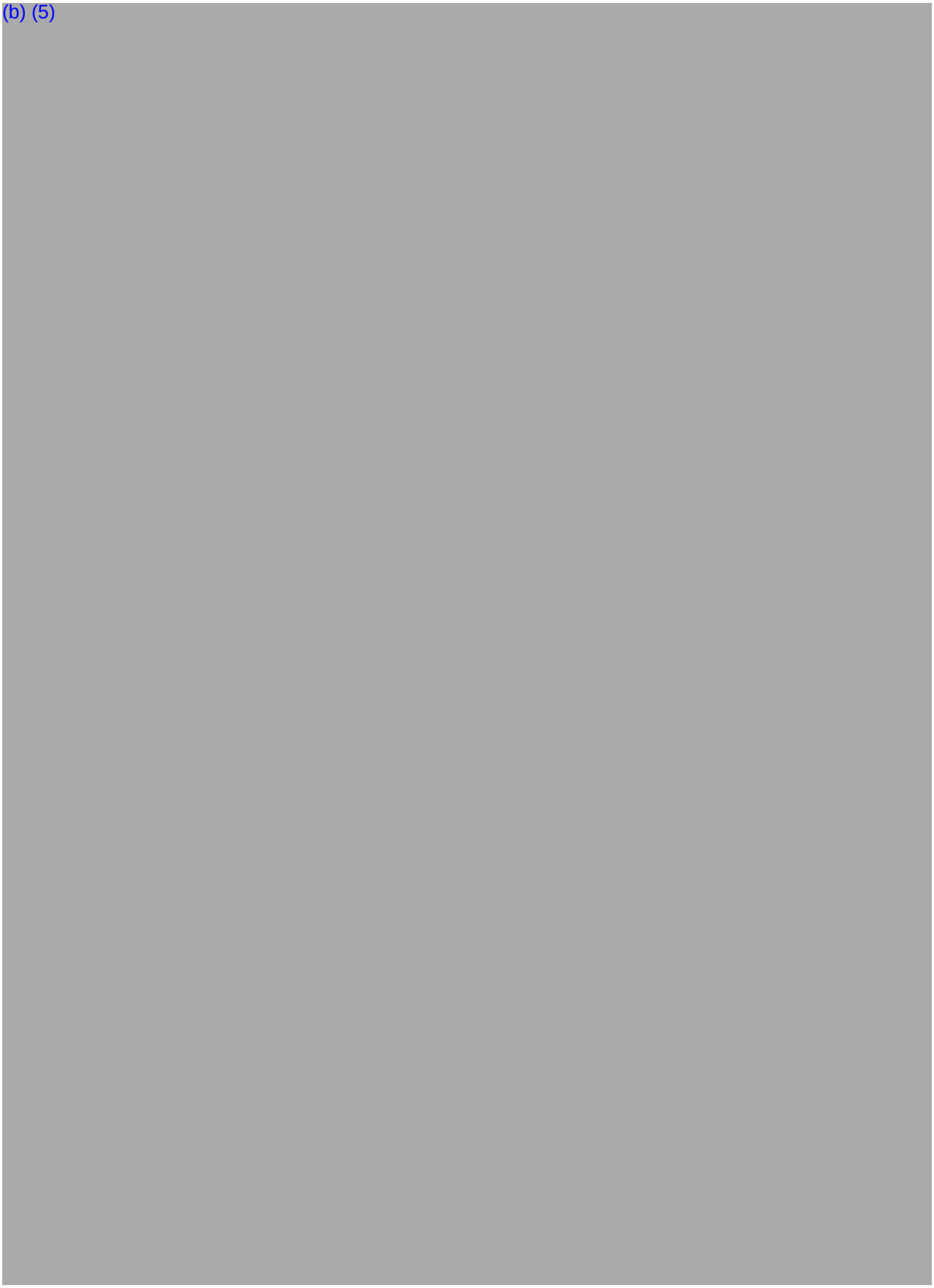
MEMORANDUM FOR: A/S Strickling

SUBJECT: Timeline Options for the Public Release of a USG Statement on the further "Internationalization" of the U.S. Role in ICANN [(e.g. either IANA and/or the AOC)]

FROM: Vernita Harris, Acting Associate Administrator, OIA

(b) (5)




(b) (5)


(b) (5)

For US Government Use Only

U.S. Department of Commerce

Agreement:
Affirmation of Commitments with ICANN
Expiration: None
Signed: Sept. 30, 2009

Agreement:
IANA Functions Contract with ICANN
Expiration: Sept. 30, 2019 (if all options are exercised)

Agreement:
Cooperative Agreement NCR 9218742
with VeriSign
Expiration: Nov. 30, 2018

(b) (5)

(b) (5)

(b) (5)

Authority:
1. 15 U.S.C. §1512, (DOC's authority to foster, promote, and develop foreign and domestic commerce)
2. 47 U.S.C. §902, (NTIA's authority to formulate telecommunications policies)

Authority:
1. Applicable Federal Acquisition Regulations (FAR); no cost time & material contract
2. 15 U.S.C. §1512, (DOC's authority to foster, promote, and develop foreign and domestic commerce)
3. 47 U.S.C. §901 *et seq*., (NTIA's authority to formulate telecommunications policies)

Authority:
1. Memorandum of Agreement between DOC and National Science Foundation (NSF) (Sept. 9, 1998)(transferring authority to manage cooperative agreement)
2. 42 U.S.C. §1862(a)(4), (g), (NSF's authority to foster & support the development & use of computer and other technologies)
3. 42 U.S.C. §1870(c), (g), (NSF's agreement making authority)
4. 31 U.S.C. §6301 *et seq.* (Federal Grant and Cooperative Agreement Act)
5. 47 U.S.C. §901 *et seq*., (NTIA's authority to formulate telecommunications policies)

From:	Kathy Smith
To:	Larry Strickling
Cc:	Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject:	RE: Draft IANA Functions Contract Memorandum
Date:	Friday, March 07, 2014 12:14:00 PM

(b) (5)

From: Larry Strickling
Sent: Friday, March 07, 2014 8:44 AM
To: Kathy Smith
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

(b) (5)

From: Kathy Smith
Sent: Wednesday, March 05, 2014 5:51 PM
To: Larry Strickling
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)

Kathy

From: Didiuk, Lauren
To: Kathy Smith
Cc: Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum
Date: Friday, March 07, 2014 3:52:22 PM

Kathy and Stacy,

(b) (5)

Lauren Didiuk
Chief, Contract Law Division
Office of the Assistant General Counsel for Finance and Litigation
Office of the General Counsel
U.S. Department of Commerce
Phone (202) 482-6281
Fax (202) 482-5858

From: Kathy Smith [mailto:KSmith@ntia.doc.gov]
Sent: Friday, March 07, 2014 2:49 PM
To: Didiuk, Lauren
Cc: Cheney, Stacy
Subject: RE: Draft IANA Functions Contract Memorandum
Importance: High

Dear Lauren: (b) (5)

Kathy

From: Larry Strickling
Sent: Friday, March 07, 2014 8:44 AM
To: Kathy Smith
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

(b) (5)

From: Kathy Smith
Sent: Wednesday, March 05, 2014 5:51 PM

To: Larry Strickling
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)

[redacted]

Kathy

From: Kathy Smith
To: Larry Strickling
Cc: Angela Simpson; Fiona Alexander; Jade Nester
Subject: RE: Article on ICANN
Date: Tuesday, March 04, 2014 12:33:00 PM
Attachments: RE AC Privileged Communication re IANA Transition.msg

---

Dear Larry: (b) (5)

[redacted]

(b) (5) [redacted]

[redacted] Kathy

From: Larry Strickling
Sent: Friday, February 28, 2014 6:48 PM
To: Kathy Smith
Cc: Angela Simpson; Fiona Alexander; Jade Nester
Subject: Fwd: Article on ICANN

Kathy,

(b) (5) [redacted] Thanks.

Larry

Sent from my Verizon Wireless 4G LTE Smartphone

-------- Original message --------
From: Phil Corwin
Date:02/28/2014 5:24 PM (GMT-06:00)
To: Larry Strickling ,Fiona Alexander ,Suzanne Radell
Subject: Article on ICANN

Secretary Strickling (and Fiona and Suzanne):

One week ago today I published a new article, “ICANN’s Uncertain State: 2014”. As of this afternoon it has received almost 3,000 views, and I have gotten extensive feedback on it.

You may well have already seen or been made aware of it, but if not it can be viewed at http://www.circleid.com/posts/20140221_icanns_uncertain_state_2014/ .

I hope you find it of interest. I look forward to seeing you in Singapore, if not before; I will be speaking on a morning panel at the NCUC conference on March 21 and look forward to your closing remarks at that event.

Be well and travel safe.

Best regards, Philip

Philip S. Corwin, Founding Principal
Virtualaw LLC
1155 F Street, NW
Suite 1050
Washington, DC 20004
202-559-8597/Direct
202-559-8750/Fax
(b) (6) **/cell**

Twitter: @VlawDC

"Luck is the residue of design" -- Branch Rickey

3 pages
withheld under
5 U.S.C. 552(b)(5)

From: Cyril J. Dadd
To: Fiona Alexander; Juliana Gruenwald; Heather Phillips; Jim Wasilewski; Jade Nester
Subject: comments on IANA Q and A.docx
Date: Monday, March 10, 2014 11:01:00 AM
Attachments: This record is not responsive.

These look very good to me. I think we need to add one more Q&A, which is already sort of addressed but I think we could take on more directly:

(b) (5)

[redacted]

From: Fiona Alexander
To: Heather Phillips; Juliana Gruenwald
Subject: How come you all want to strike out the question below. It came from State
Date: Monday, March 10, 2014 3:42:09 PM

(b) (5)

From: Juliana Gruenwald
To: Suzanne Radell (SRadell@ntia.doc.gov)
Subject: can you help me explain this?
Date: Monday, March 10, 2014 11:10:00 AM
Importance: High

In reading over the Q and A that Fiona sent around, it seems to me we need to put this in English and I'm having trouble doing that. Does my attempted explanation make sense?

(b) (5)

Thanks,

Juliana

Juliana Gruenwald
National Telecommunications and Information Administration (NTIA)
Phone: 202-482-2145
Email: jgruenwald@ntia.doc.gov

This record is not responsive.

This record is not responsive.

-----Original Message-----
From: Larry Strickling
Sent: Tuesday, March 11, 2014 9:08 AM
To: Fiona Alexander; Heather Phillips
Cc: Jade Nester; Angela Simpson
Subject: RE: Draft Statement and Q and A for your review today

Quick reactions to statement:

(b) (5)

This record is not responsive.

From:	Cyril J. Dadd
To:	Fiona Alexander
Cc:	Jim Wasilewski; Juliana Gruenwald; Heather Phillips
Subject:	Re: Feedback from LES on statement - need your help ASAP
Date:	Tuesday, March 11, 2014 10:30:24 AM

(b) (5)

What about:

(b) (5)

On Mar 11, 2014, at 10:16 AM, "Fiona Alexander" <FAlexander@ntia.doc.gov> wrote:

(b) (5)

From: Vernita D. Harris
To: Suzanne Radell; Elizabeth Bacon; Ashley Heineman
Subject: FW: For immediate review -- draft statement and Q&A
Date: Wednesday, March 12, 2014 6:56:50 PM

FYI

-----Original Message-----
From: Fiona Alexander
Sent: Wednesday, March 12, 2014 6:56 PM
To: (b) (6) ; Stifel, Megan; Alston, Avis C.; 'Bhardwaj, Manu'; Brian Peretti; (b) (6) '; Clayton Romans; Cloud, Donald; Daniel, J. Michael; Davlin, Jessica; Deloatch, Robin; Diane Cornell; Edelman, R. David; FairJC@state.gov; Ferguson, David (dferguson@usaid.gov); 'GDechter@doc.gov'; george.arnold@nist.gov; Greenwald, Eric; Grotto, Andrew (AGrotto@doc.gov); (b) (6) ; Harrell, Susan A.; 'HarrisAR@state.gov'; Hill, Patty; (b)(3) ; (b) (6), (b)(3) ; Julie Zoller; Kasper, Robert; (b) (6), (b)(3) ; Kelly, Jorda rley.Raleigh@usdoj.gov; Lan, Iris (ODAG) (Iris.Lan3@usdoj.gov); Larry Strickling; Le Mon, Christopher; (b) (6) ; Matthew Solomon; McHale, Jonathan; Micaela Klein; (b) (6) ; 'Mindel DeLaTorre'; (b) (6), (b)(3) ; Mortlock, David; (b) (6) ; Murphy, Joseph P.; Newton, Elaine M.; NPPD_S&P_DCC_Leadership@hq.dhs.gov; 'Painter, Christopher M'; 'PittmanHD@state.gov'; Polk, Tim; Power, Tom; Probst, Maria-Teresa ; Robert Flaim; 'roberta.stempfley@dhs.gov'; Ruth Millman; '"SCCI'; Scott Busby; Scott, Andrew; Sealey, Franklyn; sepulvedada@state.gov; 'Shave, Betty'; Sheila Williams; Sibick, Leslie; Siegel, Jordana (Jordana.Siegel@hq.dhs.gov); 'Spilsbury, John V; Stempler, Ilyse; (b) ; Tye, John N. ; Vernita D. Harris; (b) (6)) ; William Jones (william.jones3(b) (6)); Wong, Nicole
Cc: (b) (6) ; (b) (6) ; Knepper, Charlotte; Guthrie, Priscilla E
Subject: RE: For immediate review -- draft statement and Q&A

Hi (b)

Thanks for the feedback (b) (5)

Thanks

Fiona

Other Agency - Dept. of Defense

Other Agency - Dept. of Defense

This record is not responsive.

Angela Simpson

From: Burman, Kendall <KBurman@doc.gov>
Sent: Wednesday, March 12, 2014 1:21 PM
To: Larry Strickling
Cc: Angela Simpson
Subject: RE: Draft IANA Functions Contract Memorandum

Larry- I thought (b) (5)
(b) (5)

(b) (5)

Thanks very much, Kendall

-----Original Message-----
From: Larry Strickling [mailto:LStrickling@ntia.doc.gov]
Sent: Tuesday, March 11, 2014 11:02 AM
To: Antonipillai, Justin; Burman, Kendall
Cc: Simpson, Angela
Subject: FW: Draft IANA Functions Contract Memorandum

(b) (5)

From: Kathy Smith
Sent: Friday, March 07, 2014 3:54 PM
To: Larry Strickling
Cc: Stacy Cheney; Lauren Didiuk (LDidiuk@doc.gov); Angela Simpson; Fiona Alexander; Jade Nester
Subject: RE: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5) (b) (5)
(b) (5)
Kathy

From: Larry Strickling
Sent: Friday, March 07, 2014 8:44 AM
To: Kathy Smith
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov<mailto:LDidiuk@doc.gov>); Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

(b) (5)

From: Kathy Smith
Sent: Wednesday, March 05, 2014 5:51 PM
To: Larry Strickling

Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov<mailto:LDidiuk@doc.gov>); Stacy Cheney
Subject: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)

(b) (5)

(b) (5)

Kathy

From: Kathy Smith
To: Larry Strickling
Cc: Stacy Cheney; Lauren Didiuk (LDidiuk@doc.gov); Angela Simpson; Fiona Alexander; Jade Nester; O"Rourke, Stephen (SORourke@doc.gov)
Subject: RE: Draft IANA Functions Contract Memorandum
Date: Wednesday, March 12, 2014 3:49:50 PM
Attachments: (b) (5)

This record is not responsive.

Dear Larry: (b) (5)

Kathy

From: Kathy Smith
Sent: Friday, March 07, 2014 3:54 PM
To: Larry Strickling
Cc: Stacy Cheney; 'Lauren Didiuk (LDidiuk@doc.gov)'; Angela Simpson; Fiona Alexander; Jade Nester
Subject: RE: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)

Kathy

From: Larry Strickling
Sent: Friday, March 07, 2014 8:44 AM
To: Kathy Smith
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

(b) (5)

From: Kathy Smith
Sent: Wednesday, March 05, 2014 5:51 PM
To: Larry Strickling
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)

Kathy

6 Pages

Withheld in its entirety
pursuant to FOIA Exemption 5
(5 U.S.C. § 552 (b)(5))

From:	Kathy Smith
To:	"O"Rourke, Stephen"
Cc:	"Didiuk, Lauren"; "McClelland, Michelle"; Stacy Cheney
Subject:	RE: Draft IANA Functions Contract Memorandum
Date:	Wednesday, March 12, 2014 3:44:00 PM
Attachments:	Final IANA Functions Contract Transition Memo.docx

Dear Steve: (b) (5) I will keep you posted. Kathy

From: Kathy Smith
Sent: Wednesday, March 12, 2014 2:00 PM
To: 'O'Rourke, Stephen'
Cc: Didiuk, Lauren; McClelland, Michelle; Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

Dear Steve (b) (5) Kathy

From: O'Rourke, Stephen [mailto:sorourke@doc.gov]
Sent: Wednesday, March 12, 2014 1:41 PM
To: Kathy Smith
Cc: Didiuk, Lauren; McClelland, Michelle; Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

Kathy,

(b) (5) (b) (5)

Steve

From: Kathy Smith [mailto:KSmith@ntia.doc.gov]
Sent: Tuesday, March 11, 2014 5:00 PM
To: O'Rourke, Stephen
Cc: Didiuk, Lauren; McClelland, Michelle; Cheney, Stacy
Subject: FW: Draft IANA Functions Contract Memorandum

Dear Steve: (b) (5) Many thanks. Kathy

From: Kathy Smith
Sent: Friday, March 07, 2014 3:54 PM
To: Larry Strickling
Cc: Stacy Cheney; 'Lauren Didiuk (LDidiuk@doc.gov)'; Angela Simpson; Fiona Alexander; Jade Nester
Subject: RE: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)
[redacted]
Kathy

From: Larry Strickling
Sent: Friday, March 07, 2014 8:44 AM
To: Kathy Smith
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

(b) (5)
[redacted]

From: Kathy Smith
Sent: Wednesday, March 05, 2014 5:51 PM
To: Larry Strickling
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)
[redacted]
Kathy

6 Pages

Withheld in its entirety
pursuant to FOIA Exemption 5
(5 U.S.C. § 552 (b)(5))

From: Heather Phillips
To: shorowitz@doc.gov; Lucas Magnuson, Laura (Laura_D_Lucas@nsc.eop.gov)
Cc: Hock, James (JHock@doc.gov); Weinstein, Erin (EWeinstein@doc.gov); mgoldberg@doc.gov
Subject: FW: Finals of documents
Date: Thursday, March 13, 2014 10:50:00 PM
Attachments: This record is not responsive.
This record is not responsive.
This record is not responsive.

Here are the final documents for the ICANN announcement roll out. This talking points document is (b) (5) . The Q&A document includes (b) (5)

This record is not responsive.

This record is not responsive.

This record is not responsive.

From: Fiona Alexander [mailto:FAlexander@ntia.doc.gov]
Sent: Thursday, March 13, 2014 9:34 AM
To: Polk, Tim
Cc: Stifel, Megan
Subject: Quick question for you - time sensitive

I want to make sure we do all the right shot outs in the statement. (b) (5)

(b) (5)

In the development of the proposal, we expect ICANN to work collaboratively, with the directly affected parties, including the Internet Engineering Task Force (IETF), the Internet Architecture Board (IAB), the Internet Society (ISOC), the Regional Internet Registries (RIRs), top level domain name operators, VeriSign, and other interested global stakeholders.

From: Fiona Alexander
To: Juliana Gruenwald
Subject: FW: Q and A from FBI
Date: Thursday, March 13, 2014 3:04:24 PM

Here's that last edit. I'm good with all the edits.

From: Stifel, Megan [(b) (6)]
Sent: Thursday, March 13, 2014 1:15 PM
To: Fiona Alexander
Subject: RE: Q and A from FBI

(b) (5) I would propose the following:

A. (b) (5)

From: Fiona Alexander [mailto:FAlexander@ntia.doc.gov]
Sent: Thursday, March 13, 2014 12:49 PM
To: Stifel, Megan
Subject: Q and A from FBI

So they provided this

Q. What impact will this transition have on the ability of the FBI and other law enforcement entities to combat cyber crime and other criminal activities? [NOT PUBLICLY POSTED]

A. (b) (5)

Q. What impact will this transition have on the ability of the FBI and other law enforcement

entities to combat cyber crime and other criminal activities? [NOT PUBLICLY POSTED]

A. (b) (5) [redacted]

Fiona M. Alexander
Associate Administrator for International Affairs
National Telecommunications and Information Administration (NTIA)
(202) 482-1866
www.ntia.doc.gov

Top Line – Fidelity Messages 13 March 2014

- Today marks the culmination of a commitment the US Government made in 1998 to transition its stewardship role with respect to an important function of the Internet and entrust it to the global multistakeholder community. That process has begun.

- ICANN, both as the IANA functions administrator and as the global coordinator for the DNS Root Zone, is uniquely positioned to convene a multistakeholder process to develop a plan to transition the USG role. The Internet's global multi-stakeholder community will determine the framework under which the community will hold stewardship over these technical functions.

- ICANN is well aware of the responsibilities of performing these IANA functions. ICANN continues to administer these functions as it has with increasing autonomy for more than 15 years and, in the process, has demonstrated both operational excellence and organizational maturity.

- ICANN proudly accepts this responsibility with renewed commitment and remains dedicated to keeping the Internet secure, stabile and resilient.

ICANN Top Line – History

- Since 1998, ICANN, in its role as administrator of the IANA Functions, has professionally and successfully coordinated the unique Internet identifiers (names, IP numbers and protocol parameters). It has done so through a contract with the United States Department of Commerce since 2000, and through mutual commitments with the relevant multi-stakeholder Internet organizations that provide technical policies and specifications.

- In 1998, the U.S. government made clear its intention to eventually relinquish its role in administering this technical function of the Internet.

- Today, the U.S. government announced that the Department of Commerce intends to transfer its role as steward of the IANA Functions to the Internet's multistakeholder community. The U.S. government made it clear that when it transitions its role, it will only transition it to a multi-stakeholder mechanism, developed by and accountable to the whole community; the U.S. government will not transition it to a government or an inter-governmental institution.

- We commend the USG for its stewardship and its call for a multistakeholder oversight of the transition process.

ICANN – The Process

- For nearly 16 years, ICANN has achieved operational excellence in fulfilling its contractual obligations in executing the IANA Functions and coordinating the root zone management.

- Now, ICANN will apply a multistakeholder approach to transition the U.S. government's stewardship role.

- To achieve this objective, ICANN will launch a process that allows the community to design a framework in a bottom-up, multistakeholder manner. The process will be open and include a set of transparent mechanisms.

- The process will begin with public consultation in Singapore.

- The public will be invited to provide input through online forums, webinars, social networks and ICANN's industry events. These meetings include (launch) ICANN 49 in Singapore, ICANN 50 in London and ICANN 51 in Los Angeles.

- **Depending on the progress of this process and flow of community consultation, ICANN and the community could be ready to complete the transition before the renewal of ICANN's contract with the U.S. government in September 2015.**

- As the community addresses the transition of the US Government's role with respect to the IANA functions, it may also decide that the globalization of the Affirmation of Commitments is also necessary.

- We invite and encourage you to participate in this process. For more information, visit: www.icann.org.

Public Consultation Processes

This document describes the consultation process that will be used to develop the mechanism for stewardship transition with respect to the IANA Functions and related root zone management. This process borrows from and builds on the consultation process utilized to support ICANN's community reviews.

Background

ICANN, in its role as administrator of the IANA Functions, is responsible for coordinating unique Internet identifiers (names, IP numbers and protocol parameters) pursuant to a contract with the United States Department of Commerce. ICANN has performed these functions since 1998. The U.S. government has long envisaged transitioning its stewardship role to the multistakeholder community to instill confidence in the integrity of the IANA Functions. As ICANN has matured as an organization and in its administration of the IANA Functions and associated root zone management, the U.S. government is now prepared to transition its stewardship role. In this regard, ICANN, both as the IANA Functions contractor and as the global coordinator of the Domain Name System (DNS), is uniquely positioned to convene a multistakeholder process to develop a plan to transition the U.S. government stewardship role.

Consultation Process

- ICANN will initiate the launch of the multistakeholder-designed process for the community at the ICANN 49 Meeting in Singapore (21-27 March 2014) to address how the mechanisms for the transition should occur.
- Input from the community discussions will be compiled into materials for posting subsequent to the ICANN 49 Meeting for public comments.
- The process will be open, global, and transparent, and will ensure:
    - *Full engagement* with all stakeholders and interested or affected parties, including discussions at respective meetings.
    - *Global reach*, including translation of relevant materials.

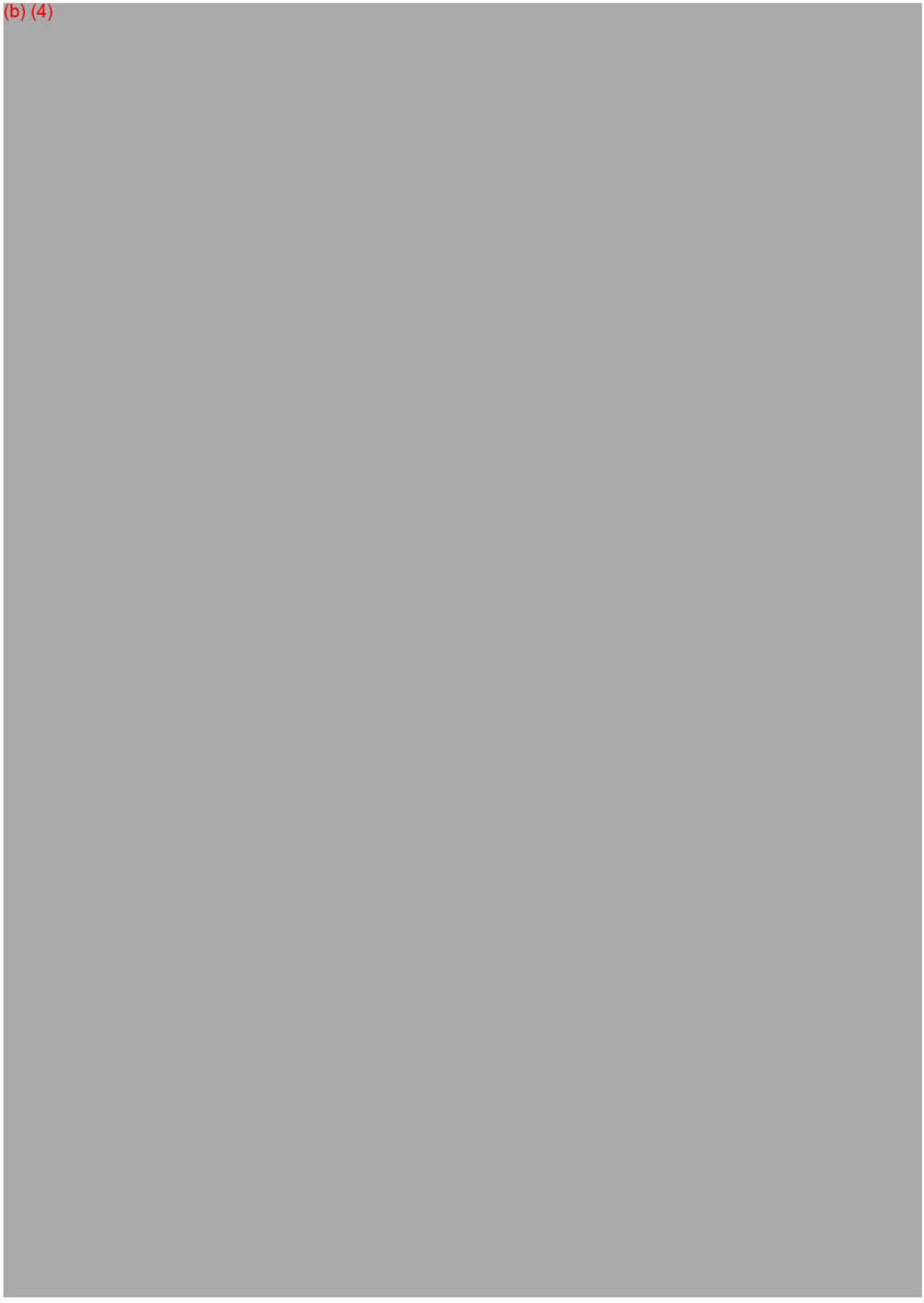
Timeline

The initial community consultation process is proposed to include the following:

- **17 March 2014**: Posting of ICANN announcement outlining the principles of engaging with the community, and the objectives of the public consultation in Singapore.
- **21-27 March 2014**: ICANN 49 (Singapore) – public dialogue and consultation with the community on how the mechanisms for the transition should occur.
- **7 April 2014**: Posting of output of Singapore discussion and proposed timeline and specific next steps.
- **22-26 June 2014**: ICANN 50 (London) – gathering of global multistakeholder community to build upon progress from previous meeting.
- **12-16 October 2014**: ICANN 51 (Los Angeles) – gathering of global multistakeholder community to build upon progress from previous meetings.
- **March 2015**: ICANN 52 (date/location TBD) – gathering of global multistakeholder community to build upon progress from previous meetings.
- **June 2015**: ICANN 53 (date/location TBD) – gathering of global multistakeholder community to build upon progress from previous meetings.
- **30 September 2015**: IANA contract expires

(b) (4)

(b) (4)


(b) (4)

(b) (4)

(b) (4)

(b) (4)

- [redacted] (b) (4)

From: Fiona Alexander
To: Juliana Gruenwald; Heather Phillips
Subject: For the statement - in terms of listing all the impacted parties
Date: Thursday, March 13, 2014 11:38:43 AM
Importance: High

We need to go with this list in whatever formulation this section is now…

(b) (5)

From: Fiona Alexander
To: Megan_H_Stifel@(b) (6) Ross_D_Edelman@(b) (6)
Cc: Larry Strickling; Heather Phillips; Juliana Gruenwald; Fiona Alexander
Subject: Finals of documents
Date: Thursday, March 13, 2014 10:13:02 PM
Attachments: This record is not responsive.
This record is not responsive.
This record is not responsive.

Hi David/Megan (my work in box has overflowed so resorting to this account)

After incorporating edits, a legal scrub here and one last review by Larry, attached is

- the statement
- q and a
- talking points.

Just to point out to you, (b) (5)

Fiona

From: Kathy Smith
To: Larry Strickling
Cc: Fiona Alexander; Jade Nester
Subject: FW: "Finals" of documents to review (b) (5)
Date: Thursday, March 13, 2014 6:26:00 PM

Dear Larry: (b) (5) . Kathy

From: Kathy Smith
Sent: Thursday, March 13, 2014 6:13 PM
To: Fiona Alexander
Cc: Heather Phillips; Juliana Gruenwald
Subject: RE: "Finals" of documents to review (b) (5)

(b) (5)

(b) (5)

[redacted]

From: Kathy Smith
Sent: Thursday, March 13, 2014 5:19 PM
To: Fiona Alexander
Cc: Heather Phillips; Juliana Gruenwald
Subject: RE: "Finals" of documents to review (b) (5)
Importance: High

(b) (5)

[redacted]

From: Fiona Alexander
Sent: Thursday, March 13, 2014 5:11 PM
To: Kathy Smith
Cc: Heather Phillips; Juliana Gruenwald
Subject: RE: "Finals" of documents to review (b) (5)

Hi Kathy

(b) (5)

[redacted]

Fiona

From: Kathy Smith
Sent: Thursday, March 13, 2014 4:20 PM
To: Fiona Alexander
Cc: Heather Phillips; Juliana Gruenwald
Subject: RE: "Finals" of documents to review (b) (5)

Dear Fiona: Here are my comments. (b) (5)

Good luck! Kathy

From: Fiona Alexander
Sent: Thursday, March 13, 2014 3:41 PM
To: Larry Strickling; Kathy Smith; Heather Phillips; Juliana Gruenwald
Cc: Cyril J. Dadd; Jim Wasilewski; Angela Simpson; Jade Nester
Subject: "Finals" of documents to review (b) (5)
Importance: High

(b) (5)

Fiona

From: Vernita D. Harris
To: Stacy Cheney; Suzanne Radell; Juliana Gruenwald
Cc: Ashley Heineman
Subject: RE: double checking this
Date: Friday, March 14, 2014 12:31:32 PM

Hi Everyone,

(b) (5) [redacted]

Regards,
--Venrita

From: Stacy Cheney
Sent: Friday, March 14, 2014 11:28 AM
To: Suzanne Radell; Juliana Gruenwald
Cc: Ashley Heineman; Vernita D. Harris
Subject: RE: double checking this

(b) (5) [redacted]

From: Suzanne Radell
Sent: Friday, March 14, 2014 11:22 AM
To: Juliana Gruenwald
Cc: Ashley Heineman; Vernita D. Harris; Stacy Cheney
Subject: RE: double checking this
Importance: High

Hi again, Juliana, I'm cc'ing Ashley, Vernita and Stacy as they are closer to the IANA Functions contract than I am. (b) (5) [redacted] I hope this helps, Suz

---

From: Juliana Gruenwald
Sent: Friday, March 14, 2014 10:25 AM
To: Suzanne Radell
Subject: RE: double checking this

But just to be clear is that (b) (5) [redacted]

From: Suzanne Radell
Sent: Friday, March 14, 2014 10:25 AM
To: Juliana Gruenwald

Subject: RE: double checking this

Thanks, Juliana. (b) (5)

From: Juliana Gruenwald
Sent: Friday, March 14, 2014 10:05 AM
To: Suzanne Radell
Subject: double checking this
Importance: High

This Q and A on the IANA stuff currently reads like this:

(b) (5)

Juliana Gruenwald
National Telecommunications and Information Administration (NTIA)
Phone: 202-482-2145
Email: jgruenwald@ntia.doc.gov

From: Fiona Alexander
To: Juliana Gruenwald
Subject: RE: Q&A
Date: Friday, March 14, 2014 11:04:34 AM

Yes that is correct

-------- Original message --------
From: Juliana Gruenwald
Date:03/14/2014 10:55 AM (GMT-05:00)
To: Fiona Alexander
Subject: RE: Q&A

Also on that Megan question, it currently reads like this:

(b) (5)

Should it be this:

Q. Are the legacy top level domains associated with U.S. Government (e.g., .mil., .gov, .edu) part of this transition?

A. No, the operation of and responsibility for the three remaining legacy top level domains associated with the U.S. Government specifically .mil, .gov, and .edu are not impacted by this transition as they are **NOT** part of the IANA and related root zone management functions.

From: Fiona Alexander
Sent: Friday, March 14, 2014 10:55 AM
To: Jim Wasilewski; Heather Phillips
Cc: Cyril J. Dadd; Juliana Gruenwald
Subject: RE: Q&A

(b) (5)

-------- Original message --------
From: Jim Wasilewski
Date:03/14/2014 10:16 AM (GMT-05:00)
To: Heather Phillips
Cc: "Cyril J. Dadd" ,Juliana Gruenwald ,Fiona Alexander
Subject: RE: Q&A

Correct. That should be a reactive q&a.

Thank you.

From: Heather Phillips
Sent: Friday, March 14, 2014 10:06 AM
To: Fiona Alexander
Cc: Jim Wasilewski; Cyril J. Dadd; Juliana Gruenwald
Subject: Q&A
Importance: High

Also – In the Q&A, we have this as one of the questions that should be made public. I thought we wanted this only for reactive Q&A (not on website):

(b) (5)

Heather Phillips
Director of Public Affairs
National Telecommunications and Information Administration (NTIA)
U.S. Department of Commerce
(202)482-0147

This record is not responsive.

This record is not responsive.

This record is not responsive.

This record is not responsive.

From: Heather Phillips [mailto:HPhillips@ntia.doc.gov]
Sent: Friday, March 14, 2014 3:10 PM
To: Lucas Magnuson, Laura; Horowitz, Sarah; Gruenwald, Juliana

Cc: Weinstein, Erin; Goldberg, Marni; Whithorne, Bobby
Subject: RE: ICANN / IANA revised document

Laura – I just wanted to flag one thing. (b) (5)

This record is not responsive.

This record is not responsive.

This record is not responsive.

This record is not responsive.

From: Fiona Alexander
To: OIA
Subject: FW: NTIA announcement and talking points
Date: Saturday, March 15, 2014 8:02:47 AM
Attachments: IANAtransitionTPs-03 13-14 fnl.docx
Importance: High

Here are the final talking points

From: Stifel, Megan [(b) (6)]
Sent: Saturday, March 15, 2014 12:30 AM
To: Alston, Avis C.; 'Bhardwaj, Manu'; Brian Peretti; (b) (6) '; Clayton Romans; Cloud, Donald; Daniel, J. Michael; Davlin, Jessica; Deloatch, Robin; Diane Cornell; Edelman, R. David; FairJC@state.gov; Ferguson, David (dferguson@usaid.gov); Fiona Alexander; 'GDechter@doc.gov'; george.arnold@nist.gov; Greenwald, Eric; Grotto, Andrew (AGrotto@doc.gov); (b) (6) ; Harrell, Susan A.; 'HarrisAR@state.gov'; Hill, Patty; (b)(3) ; (b) (6), (b)(3) ; Julie Zoller; Kasper, Robert; (b) (6), (b)(3) ; Kelly, Jordan Rae; Kimberley.Raleigh@usdoj.gov; Lan, Iris (ODAG) (Iris.Lan3@usdoj.gov); (b)(3) ; Strickling; Le Mon, Christopher; (b) (6) '; Matthew Solomon; McHale, Jonathan; Micaela Klein; (b) (6) ; 'Mindel DeLaTorre'; (b) (6), (b)(3) ; Mortlock, David; (b) (6) ; Murphy, Joseph P.; Newton, Elaine M.; NPPD_S&P_DCC_Leadership@hq.dhs.gov; 'Painter, Christopher M'; 'PittmanHD@state.gov'; Polk, Tim; Power, Tom; Probst, Maria-Teresa ; Robert Flaim; 'roberta.stempfley@dhs.gov'; Ruth Millman; '"SCCI'; Scott Busby; Scott, Andrew; (b) (6) ; sepulvedada@state.gov; 'Shave, Betty'; Sheila Williams; Sibick, Leslie; Siegel, Jordana (Jordana.Siegel@hq.dhs.gov); 'Spilsbury, John V; Stempler, Ilyse; 'Takai, Teri M SES DoD CIO'; Tye, John N. ; Vernita D. Harris; (b) (6) ; William Jones (william.jones3(b) (6) ; Wong, Nicole
Subject: NTIA announcement and talking points

All: thank you for your efforts over the past week to review the documents associated with the IANA transition announcement. NTIA released the statement Friday evening.

The link to the NTIA announcement and Q&A is http://www.ntia.doc.gov/press-release/2014/ntia-announces-intent-transition-key-internet-domain-name-functions

Attached please find talking points for your use in addressing the announcement and if asked questions.

The text of the announcement and Q&A are follows.

NTIA press release

FOR IMMEDIATE RELEASE:
March 14, 2014
News Media Contact:
NTIA, Office of Public Affairs, (202) 482-7002, press@ntia.doc.gov

WASHINGTON – To support and enhance the multistakeholder model of Internet policymaking and governance, the U.S. Commerce Department's National Telecommunications and Information Administration (NTIA) today announces its intent to transition key Internet domain name functions to the global multistakeholder community. As the first step, NTIA is asking the Internet Corporation for Assigned Names and Numbers (ICANN) to convene global stakeholders to develop a proposal to transition the current role played by NTIA in the coordination of the Internet's domain name system (DNS).

NTIA's responsibility includes the procedural role of administering changes to the authoritative root zone file – the database containing the lists of names and addresses of all top-level domains – as well as serving as the historic steward of the DNS. NTIA currently contracts with ICANN to carry out the Internet Assigned Numbers Authority (IANA) functions and has a Cooperative Agreement with Verisign

under which it performs related root zone management functions. Transitioning NTIA out of its role marks the final phase of the privatization of the DNS as outlined by the U.S. Government in 1997.

"The timing is right to start the transition process," said Assistant Secretary of Commerce for Communications and Information Lawrence E. Strickling. "We look forward to ICANN convening stakeholders across the global Internet community to craft an appropriate transition plan."

ICANN is uniquely positioned, as both the current IANA functions contractor and the global coordinator for the DNS, as the appropriate party to convene the multistakeholder process to develop the transition plan. NTIA has informed ICANN that it expects that in the development of the proposal, ICANN will work collaboratively with the directly affected parties, including the Internet Engineering Task Force (IETF), the Internet Architecture Board (IAB), the Internet Society (ISOC), the Regional Internet Registries (RIRs), top level domain name operators, VeriSign, and other interested global stakeholders.

NTIA has communicated to ICANN that the transition proposal must have broad community support and address the following four principles:

Support and enhance the multistakeholder model;
Maintain the security, stability, and resiliency of the Internet DNS;
Meet the needs and expectation of the global customers and partners of the IANA services; and,
Maintain the openness of the Internet.
Consistent with the clear policy expressed in bipartisan resolutions of the U.S. Senate and House of Representatives (S.Con.Res.50 and H.Con.Res.127), which affirmed the United States support for the multistakeholder model of Internet governance, NTIA will not accept a proposal that replaces the NTIA role with a government-led or an inter-governmental organization solution.

From the inception of ICANN, the U.S. Government and Internet stakeholders envisioned that the U.S. role in the IANA functions would be temporary. The Commerce Department's June 10, 1998 Statement of Policy stated that the U.S. Government "is committed to a transition that will allow the private sector to take leadership for DNS management." ICANN as an organization has matured and taken steps in recent years to improve its accountability and transparency and its technical competence. At the same time, international support continues to grow for the multistakeholder model of Internet governance as evidenced by the continued success of the Internet Governance Forum and the resilient stewardship of the various Internet institutions.

While stakeholders work through the ICANN-convened process to develop a transition proposal, NTIA's current role will remain unchanged. The current IANA functions contract expires September 30, 2015.

For further information see: IANA Functions and Related Root Zone Management Transition Questions and Answers

About NTIA

NTIA is the Executive Branch agency that advises the President on telecommunications and information policy issues. NTIA's programs and policymaking focus largely on expanding broadband Internet access and adoption in America, expanding the use of spectrum by all users, and ensuring that the Internet remains an engine for continued innovation and economic growth. To find out more about NTIA, visit www.ntia.doc.gov.

IANA Functions and Related Root Zone Management
Transition Questions and Answers

Q. What is the Domain Name System?

A. The Domain Name System (DNS) is a critical component of the Internet infrastructure. It allows users to identify websites, mail servers and other Internet destinations using easy-to-understand names (e.g.,www.ntia.doc.gov) rather than the numeric network addresses (e.g., 170.110.225.163) necessary

to retrieve information on the Internet.

Q. What are the IANA functions?

A. The Internet Assigned Numbers Authority (IANA) functions are a set of interdependent technical functions that enable the continued efficient operation of the Internet. The IANA functions include: (1) the coordination of the assignment of technical Internet protocol parameters; (2) the processing of change requests to the authoritative root zone file of the DNS and root key signing key (KSK) management; (3) the allocation of Internet numbering resources; and (4) other services related to the management of the ARPA and INT top-level domains (TLDs).

Q. What are the related root zone management functions?

A. The related root zone management functions are the management of the root zone "zone signing key" (ZSK), as well as implementation of changes to and distribution of the DNS authoritative root zone file, which is the authoritative registry containing the lists of names and addresses for all top level domains, effectively the Internet's phone book.

Q. Who performs the IANA functions?

A. The IANA functions are performed by the Internet Corporation for Assigned Names and Numbers (ICANN) pursuant to a contract administered by NTIA.

Q. Who performs the related root zone management functions?

A. VeriSign performs the related root zone management functions pursuant to a cooperative agreement with NTIA.

Q. What impact does this announcement have on the cooperative agreement with Verisign?

A. Aspects of the IANA functions contract are inextricably intertwined with the VeriSign cooperative agreement (i.e., authoritative root zone file management), which would require that NTIA coordinate a related and parallel transition in these responsibilities.

Q. What is NTIA's role?

A. NTIA's role includes the procedural role of administering changes to the authoritative root zone file and serving as the historic steward of the DNS, a role that has helped provide confidence in the system. NTIA contracts with ICANN to carry out the IANA functions and has a cooperative agreement with VeriSign to perform the related root zone management functions. NTIA's role is largely symbolic. NTIA has no operational role and does not initiate changes to the authoritative root zone file, assignment of protocol numbers, or allocation of Internet numbering resources.

Q. How did NTIA get involved?

A. The IANA functions were initially performed under a series of contracts between the Department of Defense's Advanced Research Projects Agency (DARPA) and the University of Southern California (USC), as part of a research project known as the Terranode Network Technology (TNT). The role was delegated to NTIA when President Clinton issued a directive in 1997 to privatize and internationalize the coordination of the DNS.

Q. What was the purpose of NTIA's role?

A. NTIA's role has been to smooth the transition of the IANA functions to the global multistakeholder community. NTIA's role was always meant to be a temporary and transitional role only with the goal of completing the transition by 2000.

Q. Why is the United States initiating this transition now?

A. ICANN as an organization has matured and taken steps in recent years to improve its accountability

and transparency and its technical competence. At the same time, international support continues to grow for the multistakeholder model of Internet governance as evidenced by the continued success of the Internet Governance Forum and the resilient stewardship of the various Internet institutions.

Q. Are the legacy top level domains associated with U.S. Government (e.g., .mil., .gov, .edu) part of this transition?

A. No, the operation of and responsibility for the three remaining legacy top level domains associated with the U.S. Government specifically .mil, .gov, and .edu are not impacted by this transition as they are not part of the IANA and related root zone management functions.

Q. What will be the role of governments in developing the transition proposal?

A. Like other stakeholders that are part of the ICANN multistakeholder model, we expect governments will have an opportunity to provide input either via ICANN's Governmental Advisory Committee (GAC) or as individual governments. NTIA will not accept a proposal that replaces the NTIA role with a government or an inter-governmental organization solution.

Q. What impact does this announcement have on NTIA's current role?

A. While stakeholders work through the ICANN-convened process to develop a transition proposal, NTIA's current role will remain unchanged. The current IANA functions contract expires September 30, 2015.

Megan

Talking Points for IANA Transition

Topline:

- NTIA is announcing its intent to transition key Internet domain name functions to the global multistakeholder community. As the first step, NTIA is asking ICANN to convene global stakeholders to develop a proposal to transition the current role played by NTIA in the coordination of the Internet's domain name system. This marks a major milestone towards the final phase of the privatization of the Domain Name System (DNS), which was first outlined by the U.S. Government in 1997.

- This move has long been contemplated. In fact, the White paper, the blueprint for transitioning DNS management to the private sector, called for a transition by September 30, 2000.

- NTIA believes the timing is right to start the transition process. ICANN as an organization has matured and taken steps in recent years to improve its accountability and transparency and its technical competence. At the same time, international support continues to grow for the multistakeholder model of Internet governance as evidenced by the continued success of the Internet Governance Forum and the resilient stewardship of the various Internet institutions. .

- NTIA has communicated to ICANN that the transition proposal must have broad community support and address the following four principles:

    - support and enhance the multistakeholder model;
    - maintain the security, stability and resiliency of the Internet's domain name system;
    - meet the needs and expectations of the global customers and partners of IANA's services; and
    - maintain the openness of the Internet.

- NTIA will not accept a proposal that replaces the NTIA role with a government or intergovernmental organization. That's consistent with the sentiment expressed in bipartisan resolutions of the U.S. Senate and House of Representatives, which affirmed the U.S. support for the multistakeholder model of Internet governance.

- During the development of the transition proposal NTIA's role will remain unchanged.

Background on NTIA Role with IANA:

- NTIA's unique responsibility includes the procedural role of administering changes to the authoritative root zone file – the database containing the lists of names and addresses of all top-level domains – and more generally involves serving as the historic steward of the DNS.

- Under a contract issued by NTIA on behalf of the U.S. government, ICANN performs the functions of the Internet Assigned Numbers Authority (IANA), which coordinates key technical tasks that help keep the Internet running smoothly.

- The U.S. management of the IANA functions stems from its historical role in providing the initial research that led to the creation of the Internet and its transition to a commercial network in 1995.

- The United States manages a competitive business process for the IANA contract and ensures the recipient adheres to the terms of the contract.

- ICANN has effectively operated the IANA functions and been a good steward of the DNS.

- ICANN as an organization has matured and taken steps to improve its accountability and transparency with the help of its Accountability and Transparency Review Team. This group has provided recommendations on how the organization can ensure the outcomes of its decision making will reflect the public interest and are accountable to stakeholders.

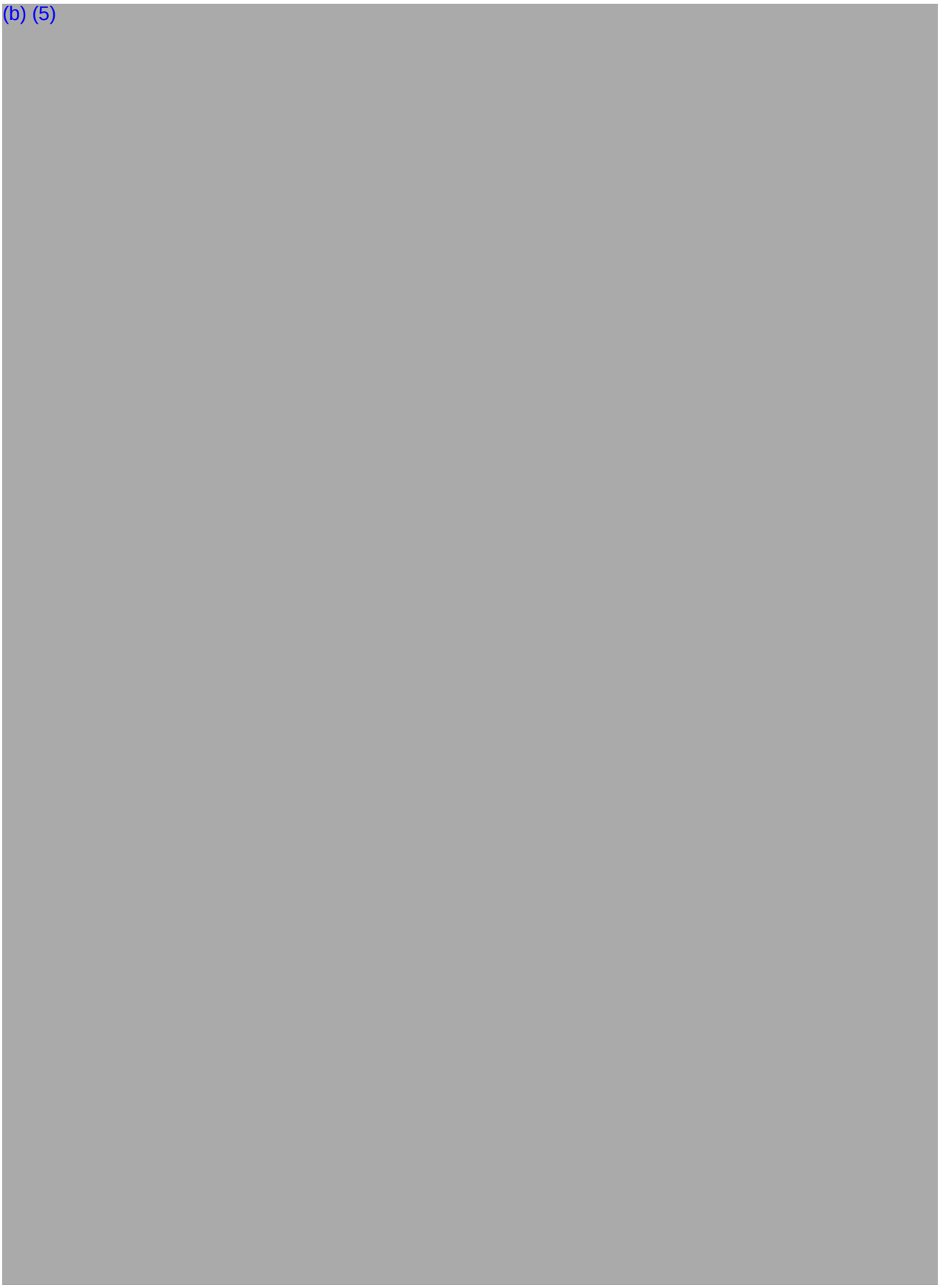
- (b) (5)

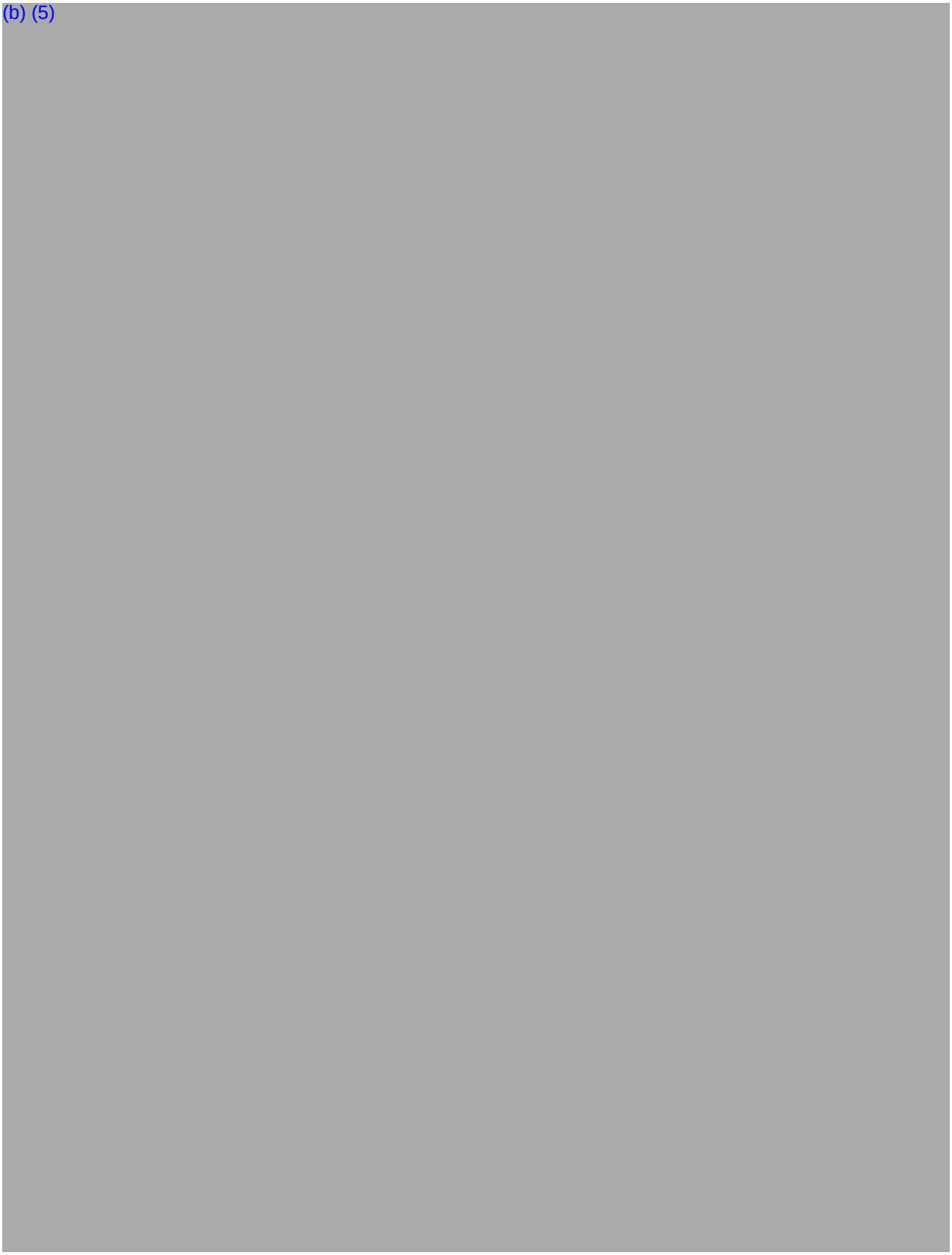
- (b) (5)

- ICANN, both as the current IANA functions contractor and as the global coordinator for the DNS, is uniquely positioned to convene a multistakeholder process to develop a plan to transition the USG role based on specified criteria.

Q&A (REACTIVE PURPOSES ONLY):

(b) (5)


(b) (5)


(b) (5)


(b) (5)

From:	Stifel, Megan
To:	"Pasquarelli, Margaret R"
Cc:	"Mangin, Debra J"; "Putnam, Christine R."; "Jones, Raymond O"; "Bartko, George D"; "Lee, Mary"; "Kenyon, Christopher P."; Scott, Andrew
Subject:	RE: IMPORTANT: IANA Paper for Canberra

My apologies we weren't able to connect earlier. Safe travels to Canberra.

Referral to DoD

[redacted]

From: Stifel, Megan [(b) (6)]
Sent: Friday, March 14, 2014 7:07 PM
To: Pasquarelli, Margaret R
Cc: Mangin, Debra J; Putnam, Christine R.; Jones, Raymond O; Bartko, George D; Lee, Mary; Kenyon, Christopher P.; Scott, Andrew
Subject: RE: IMPORTANT: IANA Paper for Canberra

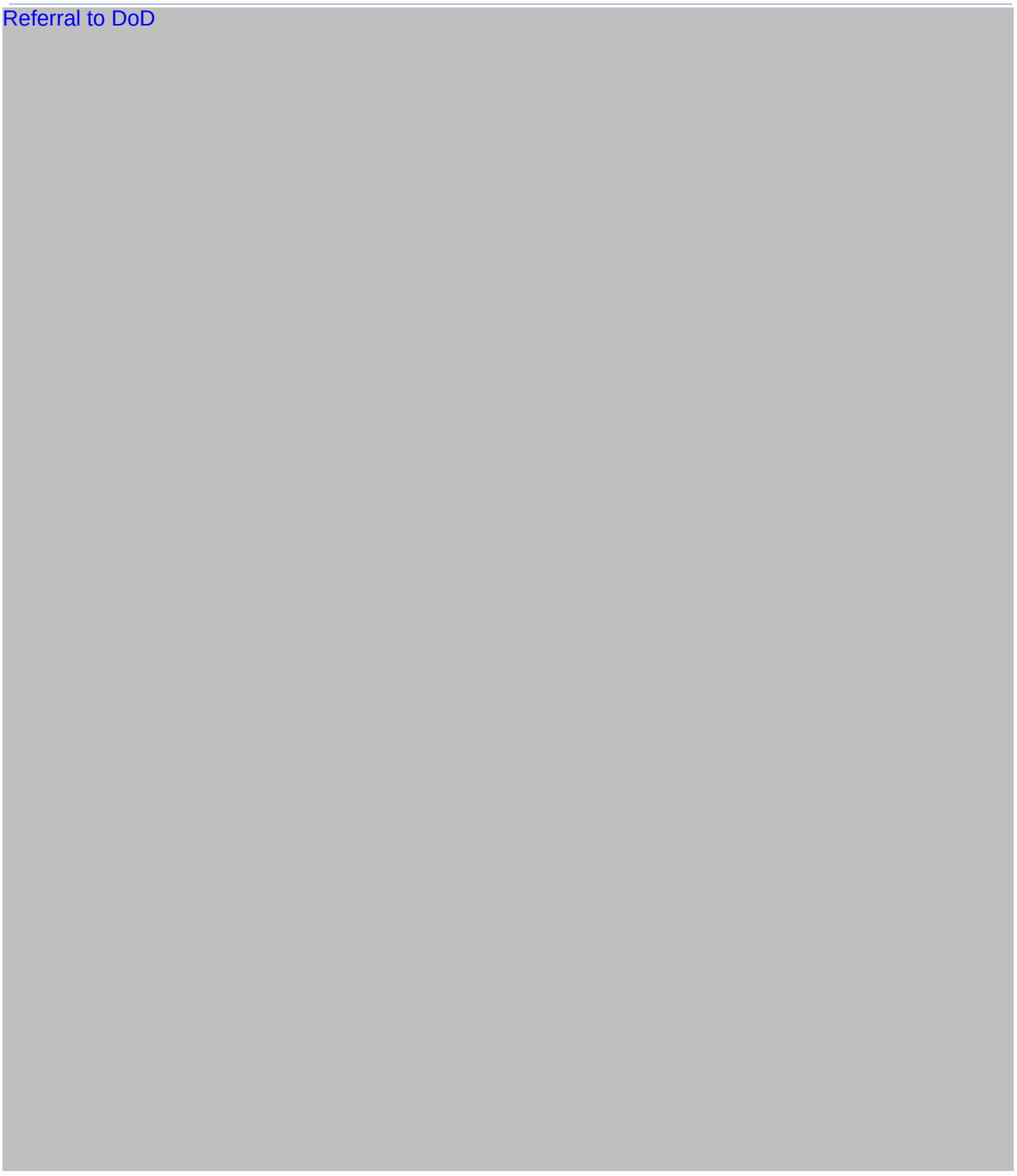
(b) (5)

[redacted]

Feel free to call me tonight or over the weekend if you'd like to discuss further.

Megan

Referral to DoD



This record is not responsive.

From: Stifel, Megan
To: Larry Strickling; Edelman, R. David; Daniel, J. Michael; "Danny Sepulveda (sepulvedada@state.gov)"; "Painter, Christopher M"; Wong, Nicole; Fiona Alexander; Polk, Tim
Cc: Lucas Magnuson, Laura
Subject: RE: Wash Post two others inquiring ICANN
Attachments: This record is not
This record is not responsive.
This record is not

Attached please find (b) (5) .

(b) (5)

Thanks everyone for your quick and hard work.

Megan

This record is not responsive.

This record is not responsive.

This record is not responsive.

This record is not responsive.

This record is not responsive.

From: Fiona Alexander [mailto:FAlexander@ntia.doc.gov]
Sent: Friday, March 14, 2014 1:49 PM
To: Bouvier, Seth E; Megan_H_Stifel@nss.eop.gov; Harris, Andrew R
Cc: Franz, Liesyl I; Heather Phillips; Juliana Gruenwald
Subject: RE: Draft email on IANA

We've shifted it (b) (5)

Referral to State

From: Fiona Alexander [mailto:FAlexander@ntia.doc.gov]
Sent: Friday, March 14, 2014 1:37 PM
To: Bouvier, Seth E; Megan_H_Stifel@nss.eop.gov; Harris, Andrew R
Cc: Franz, Liesyl I; Heather Phillips; Juliana Gruenwald
Subject: RE: Draft email on IANA

Hi Seth

We need to update this with the final talking points which we are waiting for. Copying in our public affairs folks but (b) (5)

This record is not responsive.

From:	Fiona Alexander
To:	Heather Phillips
Cc:	Juliana Gruenwald; Cyril J. Dadd; Jim Wasilewski
Subject:	RE: Another Q&A question
Date:	Friday, March 14, 2014 11:07:59 AM

Moved it to the not to be posted section per question from LES.

-------- Original message --------
From: Heather Phillips
Date:03/14/2014 10:22 AM (GMT-05:00)
To: Fiona Alexander
Cc: Juliana Gruenwald ,"Cyril J. Dadd" ,Jim Wasilewski
Subject: Another Q&A question

The Q&A that went to Kathy included this question, but it was left out of the "final" version. Was this intentional?

(b) (5)

[redacted]

Heather Phillips
Director of Public Affairs
National Telecommunications and Information Administration (NTIA)
U.S. Department of Commerce
(202)482-0147

From: Fiona Alexander
To: Stifel, Megan ((b) (6)); Edelman, R. David; Tim Polk ((b) (6))
Cc: Larry Strickling; Juliana Gruenwald; Heather Phillips
Subject: NSA comments....
Date: Friday, March 14, 2014 1:53:41 PM
Attachments: This record is not
This record is not responsive.
Importance: High

How do you think we address these? The edits (b) (5)

In terms of the comments (b) (5)

Other Agency - Dept. of Defense


Other Agency - Dept. of Defense

From:	Heather Phillips
To:	Lucas Magnuson, Laura; Horowitz, Sarah; Juliana Gruenwald
Cc:	Hock, James (JHock@doc.gov); Weinstein, Erin (EWeinstein@doc.gov); mgoldberg@doc.gov
Subject:	ICANN / IANA revised document
Date:	Friday, March 14, 2014 12:42:38 PM
Attachments:	(b) (5) Q&A - IANA-for web.docx ICANN-release-final-03.14.14.docx

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Laura,

I've attached a revised press release that adds language agreed to by Tim Polk at OSTP and David Edelman. I've also updated our reporter TP document to reflect that, and this also (b) (5)

Let me know if you have any questions, and when we have the green light. If at all possible, we'd like to do our chat w/ AP late today.

Thanks,
Heather Phillips

NTIA Announces Intent to Transition Key Internet Domain Name Functions

WASHINGTON – To support and enhance the multistakeholder model of Internet policymaking and governance, the U.S. Commerce Department's National Telecommunications and Information Administration (NTIA) today announces its intent to transition key Internet domain name functions to the global multistakeholder community. As the first step, NTIA is asking the Internet Corporation for Assigned Names and Numbers (ICANN) to convene global stakeholders to develop a proposal to transition the current unique role played by NTIA in the coordination of the Internet's domain name system (DNS).

NTIA's responsibility includes the procedural role of administering changes to the authoritative root zone file – the database containing the lists of names and addresses of all top-level domains – as well as serving as the historic steward of the DNS. NTIA currently contracts with ICANN to carry out the Internet Assigned Numbers Authority (IANA) functions and has a Cooperative Agreement with VeriSign under which it performs related root zone management functions. Transitioning NTIA out of its role marks the final phase of the privatization of the DNS as outlined by the U.S. Government in 1997.

"The timing is right to start the transition process," said Assistant Secretary of Commerce for Communications and Information Lawrence E. Strickling. "We look forward to ICANN's convening stakeholders across the global Internet community to craft an appropriate transition plan."

ICANN is uniquely positioned, as both the current IANA functions contractor and the global coordinator for the DNS, as the appropriate party to convene the multistakeholder process to develop the transition plan. NTIA has informed ICANN that it expects that in the development of the proposal, ICANN will work collaboratively with the directly affected parties, including the Internet Engineering Task Force (IETF), the Internet Architecture Board (IAB), the Internet Society (ISOC), the Regional Internet Registries (RIRs), top level domain name operators, VeriSign, and other interested global stakeholders.

NTIA has communicated to ICANN that the transition proposal must have broad community support and address the following four principles:

- Support and enhance the multistakeholder model;
- Maintain the security, stability, and resiliency of the Internet DNS;
- Meet the needs and expectation of the global customers and partners of the IANA services; and,
- Maintain the openness of the Internet.

Consistent with the clear policy expressed in bipartisan resolutions of the U.S. Senate and House of Representatives (S.Con.Res.50 and H.Con.Res.127), which affirmed the United States support for the multistakeholder model of Internet governance, NTIA will not

accept a proposal that replaces the NTIA role with a government-led or an inter-governmental organization solution.

From the inception of ICANN, the U.S. Government and Internet stakeholders envisioned that the U.S. role in the IANA functions would be temporary. The Commerce Department's June 10, 1998 *Statement of Policy*[1] stated that the U.S. Government "is committed to a transition that will allow the private sector to take leadership for DNS management." ICANN as an organization has matured and taken steps in recent years to improve its accountability and transparency and its technical competence. At the same time, international support continues to grow for the multistakeholder model of Internet governance as evidenced by the continued success of the Internet Governance Forum and the resilient stewardship of the various Internet institutions. (b) (5)

While stakeholders work through the ICANN-convened process to develop a transition proposal, NTIA's current role will remain unchanged. The current IANA functions contract expires September 30, 2015.

For further information see: (LINK TO Q&A)

About NTIA

NTIA is the Executive Branch agency that advises the President on telecommunications and information policy issues. NTIA's programs and policymaking focus largely on expanding broadband Internet access and adoption in America, expanding the use of spectrum by all users, and ensuring that the Internet remains an engine for continued innovation and economic growth. To find out more about NTIA, visit www.ntia.doc.gov.

[1] http://www.ntia.doc.gov/files/ntia/publications/6_5_98dns.pdf

IANA Functions and Related Root Zone Management
Transition Questions and Answers

Q. What is the Domain Name System?

A. The Domain Name System (DNS) is a critical component of the Internet infrastructure. It allows users to identify websites, mail servers and other Internet destinations using easy-to-understand names (e.g.,www.ntia.doc.gov) rather than the numeric network addresses (e.g., 170.110.225.163) necessary to retrieve information on the Internet.

Q. What are the IANA functions?

A. The Internet Assigned Numbers Authority (IANA) functions are a set of interdependent technical functions that enable the continued efficient operation of the Internet. The IANA functions include: (1) the coordination of the assignment of technical Internet protocol parameters; (2) the processing of change requests to the authoritative root zone file of the DNS and root key signing key (KSK) management; (3) the allocation of Internet numbering resources; and (4) other services related to the management of the ARPA and INT top-level domains (TLDs).

Q. What are the related root zone management functions?

A. The related root zone management functions are the management of the root zone "zone signing key" (ZSK), as well as implementation of changes to and distribution of the DNS authoritative root zone file, which is the authoritative registry containing the lists of names and addresses for all top level domains, effectively the Internet's phone book.

Q. Who performs the IANA functions?

A. The IANA functions are performed by the Internet Corporation for Assigned Names and Numbers (ICANN) pursuant to a contract administered by NTIA.

Q. Who performs the related root zone management functions?

A. VeriSign performs the related root zone management functions pursuant to a cooperative agreement with NTIA.

Q. What impact does this announcement have on the cooperative agreement with Verisign?

A. Aspects of the IANA functions contract are inextricably intertwined with the VeriSign cooperative agreement (i.e., authoritative root zone file management), which would require that NTIA coordinate a related and parallel transition in these responsibilities.

Q. What is NTIA's role?

A. NTIA's role includes the clerical role of administering changes to the authoritative root zone file and serving as the historic steward of the DNS, a role that has helped provide confidence in the system. NTIA contracts with ICANN to carry out the IANA functions and has a cooperative agreement with VeriSign to perform the related root zone management functions. NTIA's role is largely symbolic. NTIA has no operational role and does not initiate changes to the authoritative root zone file, assignment of protocol numbers, or allocation of Internet numbering resources.

Q. How did NTIA get involved?

A. The IANA functions were initially performed under a series of contracts between the Department of Defense's Advanced Research Projects Agency (DARPA) and the University of Southern California (USC), as part of a research project known as the Terranode Network Technology (TNT). The role was delegated to NTIA when President Clinton issued a directive in 1997 to privatize and internationalize the coordination of the DNS.

Q. What was the purpose of NTIA's role?

A. NTIA's role has been to smooth the transition of the IANA functions to the global multistakeholder community. NTIA's role was always meant to be a temporary and transitional role only with the goal of completing the transition by 2000.

Q. Why is the United States initiating this transition now?

A. ICANN as an organization has matured and taken steps in recent years to improve its accountability and transparency and its technical competence. At the same time, international support continues to grow for the multistakeholder model of Internet governance as evidenced by the continued success of the Internet Governance Forum and the resilient stewardship of the various Internet institutions. (b) (5)

(b) (5)

Q. Are the legacy top level domains associated with U.S. Government (e.g., .mil., .gov, .edu) part of this transition?

A. No, the operation of and responsibility for the three remaining legacy top level domains associated with the U.S. Government specifically .mil, .gov, and .edu are not impacted by this transition as they are not part of the IANA and related root zone management functions.

Q. What will be the role of governments in developing the transition proposal?

A. Like other stakeholders that are part of the ICANN multistakeholder model, we expect governments will have an opportunity to provide input either via ICANN's Governmental Advisory Committee (GAC) or as individual governments. NTIA will not accept a proposal that replaces the NTIA role with a government or an inter-governmental organization solution.

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4 Pages

Withheld in its entirety
pursuant to FOIA Exemption 5
(5 U.S.C. § 552 (b)(5))

This record is not responsive.

-------- Original message --------
From: Heather Phillips
Date:03/23/2014 7:27 PM (GMT-05:00)
To: Angela Simpson ,Jade Nester ,Juliana Gruenwald ,Jim Wasilewski
Subject: RE: myths and realities, version 2

We have an internal TP on that:

(b) (5)

_____

From: Angela Simpson
Sent: Sunday, March 23, 2014 7:10 PM
To: Heather Phillips; Jade Nester; Juliana Gruenwald; Jim Wasilewski
Subject: RE: myths and realities, version 2

Looks good. Waz can u add a myth re (b) (5) ?

I'll keep thinking. ..

Sent from my Verizon Wireless 4G LTE smartphone

This record is not responsive.

From: Heather Phillips
To: Juliana Gruenwald
Subject: RE: blog language
Date: Wednesday, March 19, 2014 9:50:57 AM

I realized that the version Erin was working off of didn't incorporate edits from LES:

(b) (5) [redacted] I am confident that the global community will ultimately develop a thoughtful and appropriate transition plan that the U.S. Government will fully embrace.

From: Juliana Gruenwald
Sent: Wednesday, March 19, 2014 9:50 AM
To: Heather Phillips
Subject: RE: blog language

Maybe "We look forward to a spirited discussion from the global multistakeholder community as we begin discussion on a transition plan at the ICANN meeting in Singapore later this week. I am confident that they will ultimately develop a transition plan that the U.S. Government will fully embrace.

From: Heather Phillips
Sent: Wednesday, March 19, 2014 9:45 AM
To: Juliana Gruenwald
Subject: blog language

(b) (5) [redacted] I don't think so, but do have any suggestion on tweaking?

(b) (5) [redacted]

Heather Phillips
Director of Public Affairs
National Telecommunications and Information Administration (NTIA)
U.S. Department of Commerce
(202)482-0147

From: Larry Strickling
To: Heather Phillips; Fiona Alexander
Cc: Jade Nester; Juliana Gruenwald
Subject: RE: blog - incorporating LES edits
Date: Wednesday, March 19, 2014 10:39:41 AM

Good

Sent from my Verizon Wireless 4G LTE Smartphone

-------- Original message --------
From: Heather Phillips
Date:03/19/2014 9:52 AM (GMT-05:00)
To: Fiona Alexander ,Larry Strickling
Cc: Jade Nester ,Juliana Gruenwald
Subject: RE: blog - incorporating LES edits

Would this work for the last paragraph of the blog: We look forward to a spirited discussion from the global multistakeholder community as they begin discussions on the transition plan at the ICANN meeting in Singapore next week. I am confident that the global community will ultimately develop a thoughtful and appropriate transition plan that the U.S. Government will fully embrace.

From: Fiona Alexander
Sent: Tuesday, March 18, 2014 4:47 PM
To: Heather Phillips; Larry Strickling
Cc: Jade Nester; Juliana Gruenwald
Subject: RE: blog - incorporating LES edits

One correction, one observation

GAC is Governmental Advisory Committee so missing the "al"

(b) (5)

"(b) (5)
"

Does anyone else get that from the words?

From: Heather Phillips
Sent: Tuesday, March 18, 2014 2:27 PM
To: Larry Strickling; Fiona Alexander
Cc: Jade Nester; Juliana Gruenwald
Subject: blog - incorporating LES edits

Please read through to be sure I've captured everything you wanted. Thanks

Heather Phillips
Director of Public Affairs
National Telecommunications and Information Administration (NTIA)
U.S. Department of Commerce
(202)482-0147

Talking Points for IANA Transition

Topline:

- NTIA is announcing its intent to transition key Internet domain name functions to the global multistakeholder community. As the first step, NTIA is asking ICANN to convene global stakeholders to develop a proposal to transition the current role played by NTIA in the coordination of the Internet's domain name system. This marks a major milestone towards the final phase of the privatization of the Domain Name System (DNS), which was first outlined by the U.S. Government in 1997.

- This move has long been contemplated. In fact, the White paper, the blueprint for transitioning DNS management to the private sector, called for a transition by September 30, 2000.

- NTIA believes the timing is right to start the transition process. ICANN as an organization has matured and taken steps in recent years to improve its accountability and transparency and its technical competence. At the same time, international support continues to grow for the multistakeholder model of Internet governance as evidenced by the continued success of the Internet Governance Forum and the resilient stewardship of the various Internet institutions. .

- NTIA has communicated to ICANN that the transition proposal must have broad community support and address the following four principles:

    - support and enhance the multistakeholder model;
    - maintain the security, stability and resiliency of the Internet's domain name system;
    - meet the needs and expectations of the global customers and partners of IANA's services; and
    - maintain the openness of the Internet.

- NTIA will not accept a proposal that replaces the NTIA role with a government or intergovernmental organization. That's consistent with the sentiment expressed in bipartisan resolutions of the U.S. Senate and House of Representatives, which affirmed the U.S. support for the multistakeholder model of Internet governance.

- During the development of the transition proposal NTIA's role will remain unchanged.

Background on NTIA Role with IANA:

- NTIA's unique responsibility includes the procedural role of administering changes to the authoritative root zone file – the database containing the lists of names and addresses of all top-level domains – and more generally involves serving as the historic steward of the DNS.

- Under a contract issued by NTIA on behalf of the U.S. government, ICANN performs the functions of the Internet Assigned Numbers Authority (IANA), which coordinates key technical tasks that help keep the Internet running smoothly.

- The U.S. management of the IANA functions stems from its historical role in providing the initial research that led to the creation of the Internet and its transition to a commercial network in 1995.

- The United States manages a competitive business process for the IANA contract and ensures the recipient adheres to the terms of the contract.

- ICANN has effectively operated the IANA functions and been a good steward of the DNS.

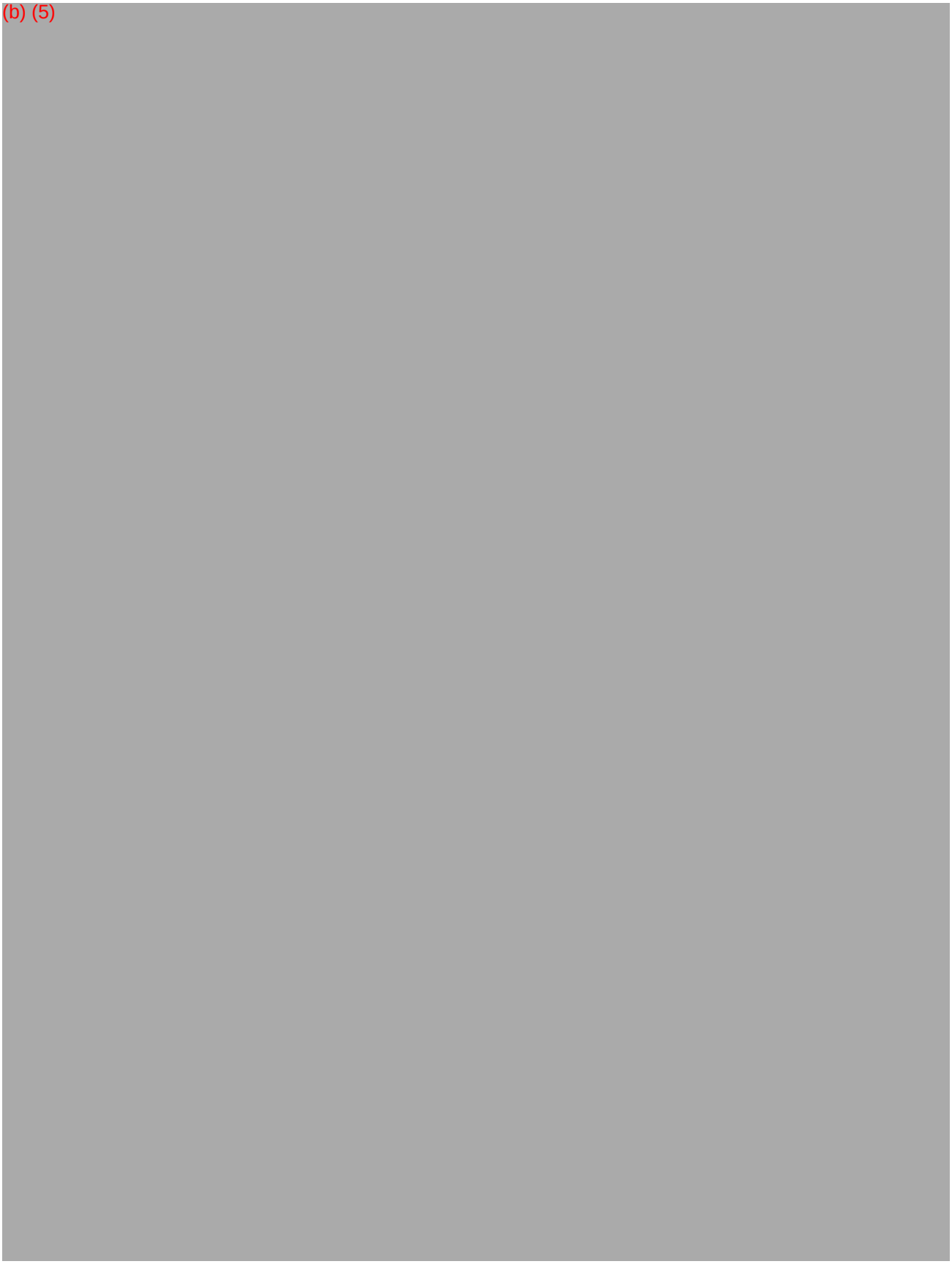
- ICANN as an organization has matured and taken steps to improve its accountability and transparency with the help of its Accountability and Transparency Review Team. This group has provided recommendations on how the organization can ensure the outcomes of its decision making will reflect the public interest and are accountable to stakeholders.

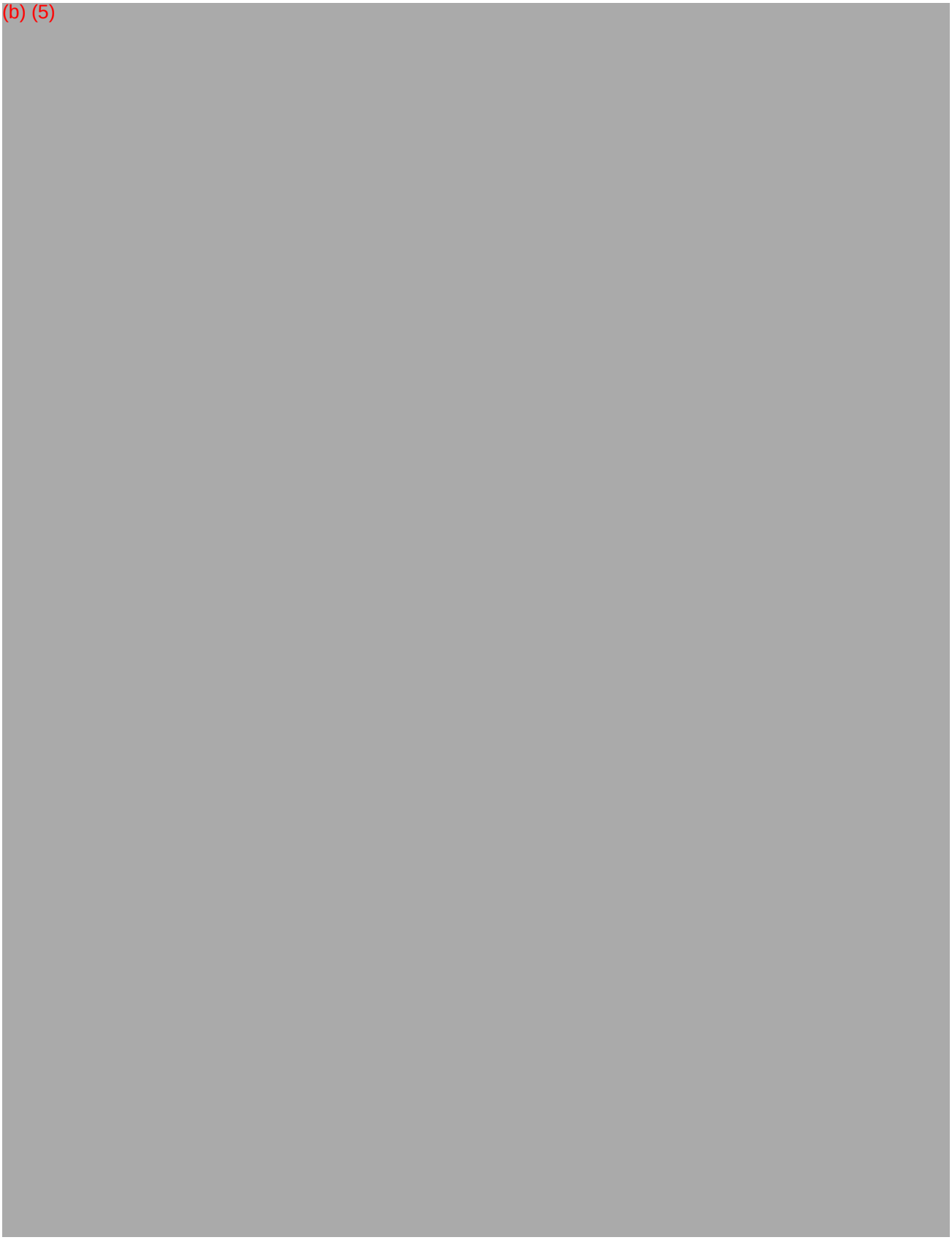
- (b) (5)

- ICANN, both as the current IANA functions contractor and as the global coordinator for the DNS, is uniquely positioned to convene a multistakeholder process to develop a plan to transition the USG role based on specified criteria.

Q&A (REACTIVE PURPOSES ONLY):

(b) (5)


(b) (5)


(b) (5)

(b) (5)

Published on *NTIA* (http://www.ntia.doc.gov)

NTIA Announces Intent to Transition Key Internet Domain Name Functions

Topics:

- ICANN [1]
- IANA functions [2]
- Internet Policy [3]
- Domain Name System [4]

FOR IMMEDIATE RELEASE:
March 14, 2014
News Media Contact:
NTIA, Office of Public Affairs, (202) 482-7002, press@ntia.doc.gov [5]

WASHINGTON – To support and enhance the multistakeholder model of Internet policymaking and governance, the U.S. Commerce Department's National Telecommunications and Information Administration (NTIA) today announces its intent to transition key Internet domain name functions to the global multistakeholder community. As the first step, NTIA is asking the Internet Corporation for Assigned Names and Numbers (ICANN) to convene global stakeholders to develop a proposal to transition the current role played by NTIA in the coordination of the Internet's domain name system (DNS).

NTIA's responsibility includes the procedural role of administering changes to the authoritative root zone file – the database containing the lists of names and addresses of all top-level domains – as well as serving as the historic steward of the DNS. NTIA currently contracts with ICANN to carry out the Internet Assigned Numbers Authority (IANA) functions and has a Cooperative Agreement with Verisign under which it performs related root zone management functions. Transitioning NTIA out of its role marks the final phase of the privatization of the DNS as outlined by the U.S. Government in 1997.

"The timing is right to start the transition process," said Assistant Secretary of Commerce for Communications and Information Lawrence E. Strickling. "We look forward to ICANN convening stakeholders across the global Internet community to craft an appropriate transition plan."

ICANN is uniquely positioned, as both the current IANA functions contractor and the global coordinator for the DNS, as the appropriate party to convene the multistakeholder process to develop the transition plan. NTIA has informed ICANN that it expects that in the development of the proposal, ICANN will work collaboratively with the directly affected parties, including the Internet Engineering Task Force (IETF), the Internet Architecture Board (IAB), the Internet Society (ISOC), the Regional Internet Registries (RIRs), top level domain name operators, VeriSign, and other interested global stakeholders.

NTIA has communicated to ICANN that the transition proposal must have broad community support and address the following four principles:

- Support and enhance the multistakeholder model;
- Maintain the security, stability, and resiliency of the Internet DNS;
- Meet the needs and expectation of the global customers and partners of the IANA services; and,
- Maintain the openness of the Internet.

Consistent with the clear policy expressed in bipartisan resolutions of the U.S. Senate and House of Representatives (S.Con.Res.50 and H.Con.Res.127), which affirmed the United States support for the multistakeholder model of Internet governance, NTIA will not accept a proposal that replaces the NTIA role with a government-led or an inter-governmental organization solution.

From the inception of ICANN, the U.S. Government and Internet stakeholders envisioned that the U.S. role in the IANA functions would be temporary. The Commerce Department's June 10, 1998 ***Statement of Policy*** [6] stated that the U.S. Government "is committed to a transition that will allow the private sector to take leadership for DNS management." ICANN as an organization has matured and taken steps in recent years to improve its accountability and transparency and its technical competence. At the same time, international support continues to grow for the multistakeholder model of Internet governance as evidenced by the continued success of the Internet Governance Forum and the resilient stewardship of the various Internet institutions.

While stakeholders work through the ICANN-convened process to develop a transition proposal, NTIA's current role will remain unchanged. The current IANA functions contract expires September 30, 2015.

For further information see: IANA Functions and Related Root Zone Management Transition Questions and Answers [7]

About NTIA

NTIA is the Executive Branch agency that advises the President on telecommunications and information policy issues. NTIA's programs and policymaking focus largely on expanding broadband Internet access and adoption in America, expanding the use of spectrum by all users, and ensuring that the Internet remains an engine for continued innovation and economic growth. To find out more about NTIA, visit www.ntia.doc.gov [8].

National Telecommunications and Information Administration
1401 Constitution Ave., NW Washington, DC 20230

Source URL: http://www.ntia.doc.gov/press-release/2014/ntia-announces-intent-transition-key-internet-domain-name-functions

Links:
[1] http://www.ntia.doc.gov/category/icann
[2] http://www.ntia.doc.gov/category/iana-functions
[3] http://www.ntia.doc.gov/category/internet-policy
[4] http://www.ntia.doc.gov/category/domain-name-system
[5] mailto:press@ntia.doc.gov
[6] http://www.ntia.doc.gov/files/ntia/publications/6_5_98dns.pdf
[7] http://www.ntia.doc.gov/other-publication/2014/iana-functions-and-related-root-zone-management-transition-questions-and-answ
[8] http://www.ntia.doc.gov/

Published on *NTIA* (http://www.ntia.doc.gov)

Promoting Internet Growth and Innovation Through Multistakeholder Internet Governance

March 19, 2014 by Assistant Secretary for Communications and Information and NTIA Administrator Lawrence E. Strickling

March 19, 2014

This past Friday, NTIA asked the Internet Corporation for Assigned Names and Numbers (ICANN) to convene global stakeholders to develop a proposal to transition the U.S. government's stewardship of the Internet's Domain Name System (DNS). This marks a major milestone toward the final phase of the privatization of the DNS, which was first outlined by the U.S. Government in 1997.

We believe the timing is right for this transition, and a broad group of stakeholders – both domestically and internationally – have expressed their support and cooperation in this process.

Cisco [1] commended NTIA for outlining a "powerful process for the move towards full privatization and globalization of DNS management." Microsoft said it "relies on the stability, resilience and security of the DNS system to enable our cloud services – and we are confident that now is the right time to complete this transition." Other industry giants like AT&T [2], Verizon [3], and Google, similarly issued statements in support of our announcement.

The Computer and Communications Industry Association [4] called NTIA's actions a "necessary next step in the evolution of the Internet," and other industry trade groups like the Domain Name Association [5], Internet Society [6], and Internet Association [7] also expressed their strong support for our efforts. Public interest groups (Public Knowledge and Center for Democracy and Technology [8]), and think tanks (Brookings Institution [9]) also embraced the announcement. And on Capitol Hill, we've heard from a bipartisan group of lawmakers including Commerce Committee Chairman Sen. John Rockefeller (D-W.V.) [10], Ranking Member Sen. John Thune (R-S.C.) [11], Sen. Marco Rubio (R-Fla.) and Rep. Anna Eshoo [12] (D-Calif.), who support our announcement.

Our announcement has led to some misunderstanding about our plan with some individuals raising concern that the U.S. government is abandoning the Internet. Nothing could be further from the truth. This announcement in no way diminishes our commitment to preserving the Internet as an engine for economic growth and innovation. We will continue to advocate for U.S. interests and an open Internet through our role on ICANN's Governmental Advisory Committee (GAC) and in other international venues including the Internet Governance Forum.

We have been clear throughout this process that any transition plan must meet the conditions of supporting the multistakeholder process and protecting the security, stability and resiliency of the Internet. I have emphasized that we will not accept a proposal that replaces NTIA's role with a government-led or an inter-governmental solution. Until the community comes together on a proposal that meets these conditions, we will continue to perform our current stewardship role.

We look forward to a spirited discussion from the global multistakeholders as they begin discussions on the transition plan at the ICANN meeting in Singapore next week. I am confident that the global community will ultimately develop a thoughtful and appropriate transition plan that the U.S. Government will fully embrace.

Topics:

- ICANN [13]
- IANA functions [14]
- Internet Policy [15]
- Domain Name System [16]

National Telecommunications and Information Administration
1401 Constitution Ave., NW Washington, DC 20230

Source URL: http://www.ntia.doc.gov/blog/2014/promoting-internet-growth-and-innovation-through-multistakeholder-internet-governance

Links:
[1] http://blogs.cisco.com/gov/cisco-supports-u-s-department-of-commerce-decision-to-transition-internet-management-functions/

[2] http://www.attpublicpolicy.com/international/the-continuing-evolution-of-the-global-internet/
[3] http://publicpolicy.verizon.com/blog/entry/verizon-supports-global-multi-stakeholder-process-for-domain-names
[4] http://www.ccianet.org/blog/2014/03/tech-industry-praises-liberation-internet-governance-functions-u-s-g/
[5] http://www.thedna.org/pr_20140314.html
[6] http://www.internetsociety.org/news/internet-technical-leaders-welcome-iana-globalization-progress
[7] http://internetassociation.org/03142014ntiaicannannouncement/
[8] https://www.cdt.org/pr_statement/us-cede-control-internet-naming-significant-step-toward-global-internet
[9] http://www.brookings.edu/blogs/techtank/posts/2014/03/15-internet-corporation-for-assigned-names-and-numbers-icann-shifting-responsibility-kerry
[10] http://www.commerce.senate.gov/public/index.cfm?p=PressReleases&ContentRecord_id=b0a9b496-2c09-4712-972a-80638efe6a16
[11] http://www.commerce.senate.gov/public/index.cfm?p=PressReleases&ContentRecord_id=94f39a92-55d9-4e5f-b363-a005b15ebec3&ContentType_id=77eb43da-aa94-497d-a73f-5c951ff72372&Group_id=59da2fee-2988-4fe9-b1dd-ee3219b6f868
[12] http://eshoo.house.gov/press-releases/eshoo-statement-on-ntias-announcement-to-transition-iana-functions/
[13] http://www.ntia.doc.gov/category/icann
[14] http://www.ntia.doc.gov/category/iana-functions
[15] http://www.ntia.doc.gov/category/internet-policy
[16] http://www.ntia.doc.gov/category/domain-name-system

From: Jade Nester
To: Angela Simpson; Heather Phillips; Juliana Gruenwald
Subject: FW: Wed Globalization Working Group meeting
Date: Monday, March 24, 2014 9:33:05 PM
Attachments: (b) (4) .

I asked Vernita to send me documents (b) (5)

[redacted]

From: Vernita D. Harris
Sent: Monday, March 24, 2014 12:02 PM
To: Jade Nester
Subject: FW: Wed Globalization Working Group meeting

This record is not responsive.

[redacted]

3 Pages

Withheld in their entirety pursuant to FOIA Exemption 4 (5 U.S.C. § 552 (b)(4))

From:	Heather Phillips
To:	Juliana Gruenwald; Cyril J. Dadd; Kathy Smith; Fiona Alexander; Jade Nester; Jim Wasilewski
Subject:	RE: talking points on legal justification for IANA
Date:	Tuesday, March 25, 2014 1:58:52 PM

Yes, this should be added. Thanks

From: Juliana Gruenwald
Sent: Tuesday, March 25, 2014 1:16 PM
To: Cyril J. Dadd; Heather Phillips; Kathy Smith; Fiona Alexander; Jade Nester; Jim Wasilewski
Subject: RE: talking points on legal justification for IANA

This is what we have in our current talking points:

(b) (5)

[redacted]

[redacted]

From: Cyril J. Dadd
Sent: Tuesday, March 25, 2014 1:02 PM
To: Heather Phillips; Juliana Gruenwald; Kathy Smith; Fiona Alexander; Jade Nester; Jim Wasilewski
Subject: talking points on legal justification for IANA

(b) (5)

[redacted]

This record is not responsive.

From: Fiona Alexander
Sent: Tuesday, March 25, 2014 11:02 AM
To: Heather Phillips
Cc: Vernita D. Harris; Angela Simpson; Jim Wasilewski; Juliana Gruenwald; Larry Strickling
Subject: RE: myths and realities document

I have (b) (5) . We can revisit this particular one on Thursday and work out a replacement.

I still do think Larry should ok it though before it gets sent around.

This record is not responsive.

This record is not responsive.

From: Juliana Gruenwald
To: Angela Simpson; Heather Phillips; Jade Nester
Subject: RE: Q for Pritzker
Date: Tuesday, March 25, 2014 3:40:42 PM

I like it.

From: Angela Simpson
Sent: Tuesday, March 25, 2014 3:40 PM
To: Heather Phillips; Juliana Gruenwald; Jade Nester
Subject: RE: Q for Pritzker

I'd suggest making it more plain language (pretend you are testifying and read that first sentence – it hurts my face)

Maybe something like:

(b) (5)

Answer:

(b) (5)

From: Heather Phillips
Sent: Tuesday, March 25, 2014 3:05 PM
To: Juliana Gruenwald; Jade Nester; Angela Simpson
Subject: Q for Pritzker

So, we really need to hammer out this answer for our messaging and also for Pritzker. You can see the gist, but this needs finessing. Please provide edits/suggestions:

(b) (5)

Answer:

(b) (5)

(b) (5) [redacted]

[redacted]

(Here is what Larry said to Politico yesterday: To the extent that our involvement was a source of irritation for other governments, our stepping aside removes that. To the extent other governments were saying, 'Well, if the United States is part of this, then we need to be as well,' it takes that argument off the table as well. Now, with the United States not in the middle of this, there's less need for other governments to be jumping in.)

Heather Phillips
Director of Public Affairs
National Telecommunications and Information Administration (NTIA)
U.S. Department of Commerce
(202)482-0147

From: Angela Simpson
To: Kathy Smith
Subject: IANA
Date: Tuesday, March 25, 2014 6:47:20 PM

(b) (5)

Angela M. Simpson
Deputy Assistant Secretary
National Telecommunications and Information Administration
U.S. Department of Commerce
(202) 482-1830 | asimpson@ntia.doc.gov

From: Heather Phillips
To: Fiona Alexander; Larry Strickling
Cc: Jade Nester; Jim Wasilewski; Angela Simpson; Juliana Gruenwald; Cyril J. Dadd
Subject: FW: ICANN myth busters document
Date: Wednesday, March 26, 2014 6:35:11 PM
Attachments: This record is not responsive.

Attached is the red-lined version of the myths/facts document that came back from the WH, which needs your review and input. In addition, Commerce folks have suggested that we (b) (5) , and we should consider formulating a myth/fact addressing this point:

(b) (5)

From:	Angela Simpson
To:	Anthony G. Wilhelm; Jim Wasilewski; Cyril J. Dadd; Heather Phillips; Jade Nester; Juliana Gruenwald
Subject:	Fwd: Draft IANA Functions Contract Memorandum
Date:	Thursday, March 27, 2014 11:52:56 AM

For qa

Sent from my Verizon Wireless 4G LTE smartphone

-------- Original message --------
From: Kathy Smith
Date:03/27/2014 11:51 AM (GMT-05:00)
To: Larry Strickling
Cc: Angela Simpson
Subject: RE: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5) [redacted]

(b) (5)

Kathy

From: Larry Strickling
Sent: Thursday, March 27, 2014 11:13 AM
To: Kathy Smith
Cc: Angela Simpson
Subject: RE: Draft IANA Functions Contract Memorandum

(b) (5)

From: Kathy Smith
Sent: Wednesday, March 12, 2014 3:50 PM
To: Larry Strickling
Cc: Stacy Cheney; Lauren Didiuk (LDidiuk@doc.gov); Angela Simpson; Fiona Alexander; Jade Nester; O'Rourke, Stephen (SORourke@doc.gov)
Subject: RE: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)

Kathy

From: Kathy Smith
Sent: Friday, March 07, 2014 3:54 PM
To: Larry Strickling
Cc: Stacy Cheney; 'Lauren Didiuk (LDidiuk@doc.gov)'; Angela Simpson; Fiona Alexander; Jade Nester
Subject: RE: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5)

Kathy

From: Larry Strickling
Sent: Friday, March 07, 2014 8:44 AM
To: Kathy Smith
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: RE: Draft IANA Functions Contract Memorandum

(b) (5)

From: Kathy Smith
Sent: Wednesday, March 05, 2014 5:51 PM
To: Larry Strickling
Cc: Angela Simpson; Fiona Alexander; Jade Nester; Lauren Didiuk (LDidiuk@doc.gov); Stacy Cheney
Subject: Draft IANA Functions Contract Memorandum

Dear Larry: (b) (5) [redacted]. Kathy

From: Jade Nester
To: Anthony G. Wilhelm; Kathy Smith
Cc: Fiona Alexander; Jim Wasilewski; Heather Phillips; Cyril J. Dadd
Subject: Draft IM for Larry"s Briefing with Sec. Pritzker re IANA
Date: Thursday, March 27, 2014 4:31:08 PM
Attachments: This record is not responsive.

Hi Tony and Kathy,

I've attached the most up-to-date version of the IM for the briefing with Secretary Pritzker. I received comments on the draft from Fiona, Cyril, and Heather. Those comments are reflected in the attached. I've retained the redlined changes for now, so I suggest viewing this in "final" mode.

Kathy- (b) (5) Could you please give me feedback by 1 PM tomorrow?

Thank you,

Jade

Jade Nester Gray
Senior Advisor to the Assistant Secretary
National Telecommunications and Information Administration
U.S. Department of Commerce
1.202.482.2560